

*Standard GDS Contract Variation Notice for participants in the Prototype Agreements
Scheme – November 2015*

STANDARD GENERAL DENTAL SERVICES CONTRACT VARIATION NOTICE FOR
CONTRACTORS WHO ELECT TO ENTER INTO A PROTOTYPE AGREEMENT –
NOVEMBER 2015

The text of the Standard General Dental Services Variation Notice - November 2015 for Contractors who elect to enter into a Prototype Agreement has been prepared by the Department of Health's Solicitors. It is prepared on the basis that the numbering adopted in the signed Prototype Agreement follows that used in the Standard General Dental Services Contract of March 2006 (last revised in April 2013), and is subject to the National Health Service (General Dental Contracts) Regulations 2005, the National Health Service (Dental Services) (Prototype Agreements) Directions 2015, the General Dental Services Statement of Financial Entitlements 2013, and the Prototype Agreements Scheme Statement of Financial Entitlements as in force on 1st November 2015.

WORDING OF VARIATIONS

BACKGROUND

1. Under the heading BACKGROUND, after paragraph E, there are inserted new paragraphs—

“F. The Board and the Contractor have elected to enter into a *Prototype Agreement* in accordance with regulation 24C of *the Regulations*.”

G. This *Prototype Agreement* forms part of this *Contract* and is entered into as part of the *Prototype Agreements Scheme* and in accordance with the *Prototype Directions*.”.

PART 1 – DEFINITIONS AND INTERPRETATIONS

2. In Clause 1—

(a) in the definition of “Band 1A course of treatment”, after “under” insert “a *Prototype Agreement* or”;

(b) in the definition of “*banded course of treatment*” after the word “means” insert the words “subject to Clause 411”;

(c) at the appropriate alphabetical place, the following new definitions are inserted—

““Blend A *Prototype Agreement*” means a *Prototype Agreement* of that name with the Blend A remuneration model that is assigned to a group of *Prototype Agreements* to enable the evaluation of different methods of payment for services provided under *Prototype Agreements* for the purposes of the objectives of the *Prototype Agreements Scheme*”;

““Blend B *Prototype Agreement*” means a *Prototype Agreement* of that name with the Blend B remuneration model that is assigned to a group of *Prototype Agreements* to enable the evaluation of different methods of payment for services provided under *Prototype Agreements* for the purposes of the objectives of the *Prototype Agreements Scheme*”;

““*capitated patient*” has the meaning given in Clauses **410** and **411**”;

Standard GDS Contract Variation Notice for participants in the Prototype Agreements Scheme – November 2015

““a Capitation and Quality Scheme Agreement” means the Agreement that formed a temporary part of a *GDS Contract*, or *PDS Agreement*, which comprised Part 5A and Part 25 of that *GDS Contract* or *PDS Agreement*, which contained agreed terms and conditions relating to the provision of primary dental services as were required by the National Health Service (Dental Services) (Capitation and Quality Scheme Agreements) Directions 2011 (1);”

““the Capitation and Quality Scheme 2 Directions” means the National Health Service (Dental Services) (Capitation and Quality Scheme 2 Agreements) Directions 2013 (2);”;

““GDS contract” means a general dental services contract within the meaning of section 100 of the *2006 Act* (general dental services: introductory);”;

““foundation trainee” has the meaning given in Section 12 of the General Dental Services Statement of Financial Entitlements 2013 (glossary of terms) (3);”;

““interim care course of treatment” where it is provided under a *Prototype Agreement*, is a course of treatment for which a charge is levied under regulation 12A of the *NHS Charges Regulations* (charges in respect of primary dental services provided under a *Prototype Agreement* or a *Capitation and Quality Scheme 2 Agreement*);”;

““interim care plan” has the meaning given in direction 2 (interpretation) of the *Prototype Directions*;”;

““overall capitation and activity performance level” has the meaning given in paragraphs 20.8 and 23.8 (setting the limits for the adjustments to capitation and activity performance) of the *Prototype SFE*;”;

““PDS agreement” means a personal dental services agreement under which primary dental services are provided under section 107 of the 2006 Act (arrangements by the *Board* for the provision of primary dental services);”;

“Prototype Agreement” means a GDS contract or PDS agreement temporarily varied as part of the *Prototype Agreements Scheme*, which contains—

(a) such terms and conditions required under the *Prototype Directions*; and

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- (1) The National Health Service (Dental Services) (Capitation and Quality Scheme Agreements) Directions 2011 signed on 27th of April 2011 are available on www.gov.uk.
 - (2) The National Health Service (Dental Services) (Capitation and Quality Scheme 2 Agreements) Directions 2013 signed on 13th March 2013 are available on www.gov.uk.
 - (3) The General Dental Services Statement of Financial Entitlements 2013 signed on 28th March 2013 as amended by: The Primary Dental Services Statements of Financial Entitlements (Amendment) Directions 2014 signed on 16th April 2014; and the Primary Dental Services Statement of Financial Entitlements (Amendment) Directions 2015 signed on 30th July 2015. All of the aforementioned sets of Directions are available on www.gov.uk.

(b) the terms and conditions required under the *Regulations*;⁴;

““Prototype Agreements Scheme” is the Scheme of that name introduced by the Secretary of State on 1st November 2015 that the Secretary of State has developed to assist in continuing to promote and secure improvement in the provision of dental services in accordance with the 2006 Act;⁵”;

““the Prototype SFE” means the Prototype Agreements Scheme Statement of Financial Entitlements⁽⁴⁾;⁶”

““the Prototype Directions” means the National Health Service (Dental Services) (Prototype Agreements) Directions 2015⁽⁵⁾;⁷” and

““the Scheme 2 SFE” means the Capitation and Quality Scheme 2 Agreements Statement of Financial Entitlements⁽⁶⁾;⁸”.

NEW PART 5A

3. After **Clause 24**, the following heading and new clauses are inserted (or where the Contractor has held a *Capitation and Quality Scheme 2 Agreement*, are substituted) (and a change also needs to be made to the table of contents for the *Contract*)—

“PART 5A

PROTOTYPE AGREEMENT

24A. The Contractor and the Board have elected to enter into a *Prototype Agreement* and sub-Clauses 24A.1 to 24A.4 apply.

24A.1 The Clauses in this *Prototype Agreement* that are specified in sub-Clause 24A.2 are temporarily varied in accordance with sub-Clauses 24A.3 and 24A.4 from [*insert date on which this Prototype Agreement commences, which must be a date on or after 1st November 2015*] and for the period ending at the end of the day on [*date of termination of this Prototype Agreement, which must be no later than 31st March 2018*].

(4) The Prototype Agreements Scheme Statement of Financial Entitlements signed on 23rd September 2015 is available on the www.gov.uk website.

(5) The National Health Service (Dental Services) (Prototype Agreements) Directions 2015 signed on 23rd September 2015 are available on the www.gov.uk website.

(6) The Capitation and Quality Scheme 2 Statement of Financial Entitlements signed on 28th of March 2013, as amended by: the Primary Dental Services Statement of Financial Entitlements (Amendment) Directions 2014, signed on 16th of April 2014, the Capitation and Quality Scheme 2 (Amendment) Directions 2014, signed on 5th of August 2014, the Capitation and Quality Scheme 2 Statement of Financial Entitlement (Amendment) Directions 2015, signed on 25th March 2015, and the Primary Dental Services Statement of Financial Entitlements (Amendment) Directions 2015, signed on 30th of July 2015. All of the aforementioned Directions are available on the www.gov.uk website.

24A.2 The specified Clauses referred to in sub-clause 24A.1 are—

- (a) Clause 1 (definitions and interpretation);
- (b) Clauses 77 and 78 (*units of dental activity* to be provided);
- (c) Clauses 79 to 86 (measurement of the number of *units of dental activity* provided);
- (d) Clauses 87 to 100 (mid-year review);
- (d) Clause 203 (patient records);
- (e) Clauses 239 to 240 (payments under the Contract); and
- (f) Clauses 289 to 291 (variation of the Contract: activity under the Contract in so far as it relates to units of dental activity).

24A.3 The Contractor and the Board are temporarily released from all obligations, conditions, payments, rights and liabilities relating to the Clauses specified in sub-Clause 24A.2 (and only those Clauses), including any right to enforce any of those Clauses.

24A.4 Immediately after the date of the termination of the *Prototype Agreement*—

- (a) the Clauses specified in sub-Clause 24A.2 which subsisted immediately before—
 - (i) in the case of a Contractor who held both a *Capitation and Quality Scheme Agreement* and a *Capitation and Quality Scheme 2 Agreement*, the *Capitation and Quality Scheme Agreement* commenced, or
 - (ii) in the case of a Contractor who held a *Capitation and Quality Scheme 2 Agreement* but not a *Capitation and Quality Scheme Agreement*, the *Capitation and Quality Scheme 2 Agreement* commenced, or
 - (iii) if neither paragraphs (i) nor (ii) applies, this *Prototype Agreement* commenced;and from which the Contractor and the Board were temporarily released as a consequence of sub-Clause 24A.3 apply again from the day after the date of termination; and
- (b) all obligations, conditions, payments, rights and liabilities relating to the Clauses specified in sub-Clause 24A.2 are enforceable again from that day.”.

PART 8 – MANDATORY SERVICES

4. The following variations are made to Part 8.

4.1 At the beginning of Clause **77** and Clause **78** (*units of dental activity to be provided*), insert—

“Subject to Clause 78A,”.

4.2 After Clause **78**, insert a new clause —

“**78A.** In accordance with Clause 24A, Clauses 77 and 78 temporarily have no effect and the provisions in Part 25 apply instead.”.

4.3 After Clause **79** (under provision of units of dental activity), insert a new Clause—

“**79A.** In accordance with Clause 24A, Clauses 79 to 86 temporarily have no effect and the provisions in Part 25 apply instead.”.

4.4 At the beginning of Clause **87** (mid-year review), insert—

“Subject to Clause 87A,”.

4.5 After Clause **87**, insert a new Clause—

“**87A.** In accordance with Clause 24A, Clauses 87 to 100 temporarily have no effect and the provisions in Part 25 apply instead.”.

PART 13 - RECORDS, INFORMATION, NOTIFICATIONS AND RIGHTS OF ENTRY

5. The following variations are made to Part 13.

5.1 At the beginning of Clause **203** (patients records), insert—

“Subject to Clause 203A,”.

5.2 After Clause **203**, insert new clause—

“**203A.** In accordance with Clause 24A, Clause 203 temporarily has no effect and Clause 401 applies instead.”.

PART 14 – PAYMENTS UNDER THE CONTRACT

6. The following variation is made to Part 14.

6.1 Immediately under the sub-heading “PAYMENT UNDER THE CONTRACT”, insert a new Clause—

“**238A.** In accordance with Clause 24A, Part 14 temporarily has no effect and Clauses 388 and 389 apply instead. All the provisions of Part 14 are therefore to be read subject to this Clause.”.

PART 22 – VARIATION AND TERMINATION OF THE CONTRACT

7. The following variations are made to Part 22.

7.1 At the beginning of Clause **289** (variation of the contract: activity under the Contract) insert “Subject to Clause **289A**,”.

7.2 After Clause **289**, insert Clause **289A**.—

“**289A.** In accordance with Clause 24A, Clauses 289 to 291 temporarily have no effect in relation to *units of dental activity* only and Part 25 applies instead.”.

7.3 After Clause **315** (termination by the Board: general), insert—

“**315A.** The Board or the Contractor may terminate that part of the Contract which comprises the *Prototype Agreement* but may only do so in accordance with Clauses 392 and 395 (Board withdrawal from the *Prototype Agreements Scheme*) or, as the case may be, Clause 394 (Contractor withdrawal from the *Prototype Agreements Scheme*).”.

INSERTION OF NEW PART

8. Immediately after Clause **384** (signing of documents), there is inserted the following Part, headings, new clauses, and then, at the end of the Agreement, the new Schedules—

“PART 25

TERMS AND CONDITIONS OF THE PROTOTYPE AGREEMENT

385.1 The Contractor and the Board have elected to enter into this *Prototype Agreement* and for the avoidance of doubt each of the parties warrants that it has the power to enter into this *Prototype Agreement* and the necessary approval has been obtained.

385.2 This Part of the Contract is referred to as the *Prototype Agreement*.

DURATION OF THE PROTOTYPE AGREEMENT

386. This Part shall subsist until [*insert date*] or until it is terminated in accordance with the terms of this *Prototype Agreement* or the general law.

ORTHODONTIC ACTIVITY(7)

387. The Contractor and the Board agree that payment for any *orthodontic services* provided under the *Contract*, as determined in accordance with Section 2 of the General Dental Services Statement of Financial Entitlements 2013 (8) (“GDS SFE 2013”) as an annual value but payable on the basis of monthly values, is to be made promptly and in accordance with the requirements of that Statement, subject to the rights the Board has to set off other amounts against any amount payable. The agreed annual value of the *orthodontic services* provided under the *Contract*, as at the date *the Prototype Agreement* comes into force, is [] for [] *units of orthodontic activity*.

STANDARD PAYMENTS

388. The Board must—

388.1 make the payments that are payable to the Contractor in respect of services provided under *the Prototype Agreement*, these being the payments that the Contractor is entitled to under *the Prototype SFE*(9), promptly; and

388.2 make these payments in accordance with the terms and conditions of *the Prototype Agreement* which apply to [*delete as appropriate*]—

Blend A Prototype Agreements where sections 18 to 20 of *the Prototype SFE* apply),

Blend B Prototype Agreements where sections 21 to 23 of *the Prototype SFE* apply),

and in accordance with the applicable Table for their type.

389. Clause **388** is subject to any right the Board has to set off against an amount payable to the Contractor an amount that—

(7) This Clause is only required where *orthodontic services* have been provided under the Contract immediately before the commencement of Part 5. If no such services have been provided, clause 387 is not required.

(8) The General Dental Services Statement of Financial Entitlements 2013 signed on 28th March 2013, as amended by: the Primary Dental Services Statement of Financial Entitlements (Amendment) Directions 2014, signed on 16th April 2014 and the Primary Dental Services Statement of Financial Entitlements (Amendment) Directions 2015, signed on 30th July 2015. All of the aforementioned Directions are available on www.gov.uk.

(9) The Prototype Agreements Scheme Statement of Financial Entitlements signed on 23rd September 2015 is available on www.gov.uk.

- (a) is owed by the Contractor to the Board under this *Prototype Agreement*;
- (b) has been paid to the Contractor owing to an error or in circumstances when it was not due;
- (c) may be withheld in accordance with the terms of this *Prototype Agreement*, the *Prototype Directions* and the *Prototype SFE*, or
- (d) may be recovered and withheld where payment is owed by the Contractor under a *Capitation and Quality Scheme 2 Agreement* pursuant to direction 9 of the *Capitation and Quality Scheme 2 Directions*.

VARIATION OF THE PROTOTYPE AGREEMENT

390. Subject to Clauses **412** to **414**, the *Board* must not vary the terms and conditions of this *Prototype Agreement* without the approval of the *Secretary of State*.

391. The *Board* may vary the terms and conditions of this *Prototype Agreement* without the Contractor's consent but only to the extent that it is necessary to vary this *Prototype Agreement* so as to comply with the *2006 Act*, any regulations made pursuant to that Act or any directions given by the *Secretary of State* pursuant to that Act.

TERMINATION OF THE PROTOTYPE AGREEMENT

392. The *Board* must—

392.1 before giving notice of its intention to withdraw from a *Prototype Agreement* in accordance with sub-clause **392.2**, discuss its intention to do so with the *Secretary of State*; and

392.2 give a period of not less than 3 months notice to the *Secretary of State* and the Contractor of any intention to withdraw from this *Prototype Agreement*.

393. Subject to Clauses **397** to **399** the *Board* must make suitable provision for arrangements on termination of this *Prototype Agreement*.

394. The Contractor may withdraw from this *Prototype Agreement* but must give a period of not less than 3 months notice to the *Board* and the *Secretary of State* of any intention to do so.

395. The *Board* may, in particular, consider withdrawal from a *Prototype Agreement* in the circumstances set out in Clause **396**.

396. The circumstances referred to in Clause **395** are—

396.1 where, in the view of the *Board*, there has been a significant reduction in average weekly time given to appointments in which an element of NHS care is delivered and reported to the NHS Business Services Authority during the financial year;

396.2 where a contractor's overall capitation and activity performance level is less than 90% at the end of the financial year, or where, during the financial year, it is forecast by the NHS Business Services Authority to be likely to be less than 90% at the end of the financial year;

396.3 where, in the view of the *Board*, there has been a significant failure to return the information required under direction 13 of the *Prototype Directions*, or any other information required by the *Board* for the purposes of evaluating and managing the *Prototype Agreement*, or, in the view of the *Board*, a significant number of late returns of such information;

396.4 where the Contractor has not continued to provide services under the *Prototype Agreement* to *capitated* patients for the duration of the *Prototype Agreement* as required under direction 15 of the *Prototype Directions*; and

396.5 where a breach notice has been issued to the contractor in accordance with paragraph 73 of Schedule 3 to *the Regulations*.

PROVISIONS RELATING TO CESSATION OF THE PROTOTYPE AGREEMENTS SCHEME AND TERMINATION OF A PROTOTYPE AGREEMENT

397. The Board must co-operate with the Contractor and take the necessary steps that are reasonably required to ensure that the Contractor may—

397.1 on the cessation of the *Prototype Agreements Scheme*; or

397.2 on termination of this *Prototype Agreement* in accordance with Clauses **392** to **396**,

and subject to Clause **398**, continue to provide primary dental services in accordance with this *Contract*, other than Part 25.

398. Notwithstanding Clause **397**, the Board, on cessation of *the Prototype Agreements Scheme* or termination of this *Prototype Agreement*, must co-operate with the Contractor to ensure that this *Contract* other than Part 25 continues to comply with *the 2006 Act*, any regulations made pursuant to that Act or any directions given by *the Secretary of State* pursuant to that Act.

399. The Clauses in this Part do not override any obligations, rights, liabilities and duties which arise as a consequence of the terms and conditions of service required under *the Regulations* except where expressly varied for the purposes of *the Prototype Agreements Scheme*.

PATIENT INFORMATION LEAFLET

400. The Contractor must display in a prominent position in its practice, in a part to which patients have access, the “NHS Dental Prototype Agreements – Patient Information” leaflet published by the Department of Health **(10)**, and must ensure that copies of this leaflet are made available to patients visiting the practice.

RECORDS AND INFORMATION

401. The Contractor must keep the *patient record* in electronic form.

402. The Contractor must—

402.1 send to the *Board* details of any dental services provided privately to patients receiving services at the Contractor’s practice whether or not they are associated with services that are provided under this *Prototype Agreement*; and

402.2 provide all patients attending the Contractor’s practice with a privacy notice in the form approved by the *Board* notifying them of the obligation referred to in Clause **402.1(11)**

403. The Contractor must to the *Board*, or at the request of the *Board* to a person authorised in writing by the *Board*, provide in electronic form—

(10) “NHS Dental Prototype Agreements – Patient Information” is available on www.gov.uk.

(11) The text of the privacy notice to be provided to patients is available on www.gov.uk.

403.1 costs of any appliances supplied or otherwise provided to patients receiving services under this *Prototype Agreement*; and

403.2 details relating to laboratory costs associated with services provided under this *Prototype Agreement*.

404. The Contractor must send to the Board the data required under the document entitled “Dental Quality and Outcomes Framework” published by the Department of Health⁽¹²⁾.

PROVISION OF SERVICES

405. To enable *the Secretary of State* to evaluate the *Prototype Agreements Scheme*, if the Contractor commences to provide services to a patient under the *Prototype Agreements Scheme*, the Contractor commits to continuing to provide such services to that patient for the duration of the *Prototype Agreement*.

BREACH

406. Breach of any Clause of, or Schedule to, Part 25 or any of the provisions in *the Prototype Directions* by the Board or the Contractor may lead to termination of this *Prototype Agreement*.

INTERIM CARE COURSE OF TREATMENT

407. The Contractor must provide—

407.1 *an interim care course of treatment* as a new course of treatment in the context of regulation 12A of the *NHS Charges Regulations*, and

407.2 all of the services proposed for a patient, and agreed with that patient, in the interim care plan proposed for that patient, to that patient in accordance with this *Prototype Agreement*.

TRANSITIONAL PROVISION RELATING TO CONTINUITY OF CARE

408. This clause applies —

408.1 following the termination of a *Capitation and Quality Scheme 2 Agreement* on [insert date], and

408.2 where the Contractor has held a *Capitation and Quality Scheme 2 Agreement* on or before that date and then enters into a *Prototype Agreement*.

(12) The Dental Quality and Outcomes Framework is available on www.gov.uk.

409. If Clause **408.1** applies, the Contractor must continue to provide any treatment provided to a patient under the *Capitation and Quality Scheme 2 Agreement* under the *Prototype Agreement*.

CAPITATED PATIENTS

410. On any day (the relevant day) a patient is a *capitated* patient if that patient has been provided with a *banded course of treatment* by the Contractor within a period of three years immediately preceding that day, provided that—

410.1 *the banded course of treatment* has not been provided by a *foundation trainee*;

410.2 the patient has not been referred to the Contractor for the *banded course for treatment* by another primary dental services contractor; and

410.3 the patient was not subsequently provided with a *banded course of treatment* before the relevant day by another primary dental services contractor, apart from where a patient was referred to that other contractor by the Contractor for that *banded course of treatment*.

411. For the purposes of Clause **410** a *banded course of treatment* does not include an *urgent course of treatment*.

CAPITATION AND ACTIVITY PERFORMANCE

412. This Clause applies to the overall capitation and activity performance level (as provided for in the *Prototype SFE*, which the Contractor is expected to achieve under the *Prototype Agreement* by the end of the financial year.

413. Where there is underperformance by the Contractor that amounts to 4% or less (as calculated in accordance with paragraphs 20.8(a) and 23.8(a) of the *Prototype SFE*) of the level referred to in Clause **412**, the *Board*—

413.1 must not take any action for breach of the *Prototype Agreement* (including termination of the *Prototype Agreement*); and

413.2 may carry this amount over into the *Prototype Agreement* for the following financial year.

414. Where there has been over-performance by the Contractor of 2% or less (as calculated in accordance with paragraphs 20.8(b) and 20.8(b) of the *Prototype SFE*), the *Board* may—

414.1 carry over the amount into the following financial year; or

414.2 pay the Contractor an additional payment for the additional services provided.

PROVISION OF SERVICES: UNITS OF DENTAL ACTIVITY WHERE A CONTRACTOR HAS ELECTED TO ENTER INTO A PROTOTYPE AGREEMENT

415. Where a contractor holding a *Blend A Prototype Agreement* provides a *banded course of treatment*, the Contractor provides the number of units of dental activity specified in the appropriate row of Table A.

416. Where a Contractor holding a *Blend B Prototype Agreement* provides a *banded course of treatment*, the Contractor provides the number of units of dental activity specified in the appropriate row of Table B.

417. Where a *banded course of treatment* is commenced under a *Prototype Agreement* but not completed for whatever reason, the appropriate number of units of dental activity provided must be calculated on the basis of the components of the *course of treatment* which has been—

417.1 completed, and

417.2 commenced but not completed.

418. Where a patient is referred by the Contractor for *advanced mandatory services* to another provider of primary dental services, the appropriate number of units of dental activity provided by—

418.1 the Contractor; and

418.2 the other provider of primary dental services, if that provider is also a contractor,

must be calculated on the basis of the components of the *course of treatment* which they actually provide notwithstanding that the treatment constitutes a single *banded course of treatment* for charging purposes.

419. Where the Contractor provides a *charge exempt course of treatment*, the Contractor provides the number of units of dental activity specified in the appropriate row of Table C.

*Standard GDS Contract Variation Notice for participants in the Prototype Agreements
Scheme – November 2015*

Table A

Units of dental activity provided under the prototype agreement in respect of banded courses of treatment (where the contractor holds a Blend A Prototype Agreement)

Type of treatment	Units of dental activity provided
<i>Band 1 course of treatment</i> (excluding urgent treatment)	0.0
<i>Band 1 course of treatment</i> (urgent treatment) where the patient is a <i>capitated patient</i> of the practice	0.0
<i>Band 1 course of treatment</i> (urgent treatment) where the patient is not a <i>capitated patient</i> of the practice	1.2
<i>Band 2 course of treatment</i>	2.0
<i>Band 2 course of treatment</i> where a patient is referred for <i>advanced mandatory services</i> by another provider	3.0
<i>Band 3 course of treatment</i>	11.0
<i>Band 3 course of treatment</i> where a patient is referred for <i>advanced mandatory services</i> by another provider	12.0

Table B

Units of dental activity provided under the prototype agreement in respect of banded courses of treatment (where the contractor holds a Blend B Prototype Agreement)

Type of treatment	Units of dental activity provided
<i>Band 1 course of treatment</i> (excluding urgent treatment)	0.0
<i>Band 1 course of treatment</i> (urgent	0.0

*Standard GDS Contract Variation Notice for participants in the Prototype Agreements
Scheme – November 2015*

treatment) where the patient is a <i>capitated patient</i> of the practice	
<i>Band 1 course of treatment</i> (urgent treatment) where the patient is not a <i>capitated patient</i> of the practice	1.2
<i>Band 2 course of treatment</i>	0.0
<i>Band 2 course of treatment</i> where a patient is referred for <i>advanced mandatory services</i> by another provider	3.0
<i>Band 3 course of treatment</i>	9.0
<i>Band 3 course of treatment</i> where a patient is referred for <i>advanced mandatory services</i> by another provider	12.0

Table C

Units of Dental Activity provided under the Prototype Agreement in respect of charge exempt courses of treatment

Type of charge exempt course of treatment	Units of dental activity provided
Issue of a prescription	0.0
Repair of a <i>dental appliance</i> (denture) where the patient is a <i>capitated patient</i> of the practice	0.0
Repair of a <i>dental appliance</i> (denture) where the patient is not a <i>capitated patient</i> of the practice	1.0
Repair of a <i>dental appliance</i> (bridge) where the patient is a <i>capitated patient</i> of the practice	0.0
Repair of a <i>dental appliance</i> (bridge) where the patient is not a <i>capitated patient</i> of the	1.2

*Standard GDS Contract Variation Notice for participants in the Prototype Agreements
Scheme – November 2015*

practice	
Removal of sutures where a patient is a <i>capitated patient</i> of the practice	0.0
Removal of sutures where a patient is not a <i>capitated patient</i> of the practice	1.0
Arrest of bleeding where the patient is a <i>capitated patient</i> of the practice	0.0
Arrest of bleeding where the patient is not a <i>capitated patient</i> of the practice	1.2

VARIATION OF CAPITATION AND DENTAL ACTIVITY

420. Where the Contractor or the *Board* is of the opinion that there needs to be a variation to the number of —

420.1 units of dental activity to be provided under the *Prototype Agreement*;
or

420.2 *capitated* patients to whom the Contractor is expected to have provided primary dental services by the end of the financial year,

to be provided under the *Prototype Agreement*, Clauses **421** and **422** apply.

421. The Contractor or the *Board* must notify the other party to the *Prototype Agreement* in writing of its opinion of the need for a variation, specifying in that notice the variation that it considers necessary, together with its reasons.

422. Following service of the notice referred to in Clause **421**, both parties must use their best endeavour to communicate and co-operate with each other with a view to determining what (if any) variation should be made to the number of—

422.1 units of dental activity; or

422.2 *capitated* patients to whom the Contractor is expected to have provided primary dental services by the end of the financial year,

and any related variations to the *Prototype Agreement*, including to the monies to be paid to the Contractor under the *Prototype Agreement*, and must where appropriate effect the variation in accordance with Clauses **287** and **288**.

PROTOTYPE AGREEMENT BLEND

423. The *Board* and the Contractor agree that this *Prototype Agreement* is a [Blend A *Prototype Agreement* to which Schedule 1A applies] or [a Blend B *Prototype Agreement* to which Schedule 1B applies] [*To be deleted as appropriate by the parties*]

SCHEDULE 1A

Blend A Prototype Agreements – Values and Services

BLEND A PROTOTYPE AGREEMENTS

1. The parties agree that this *Prototype Agreement* is a *Blend A Prototype Agreement* (where this Schedule and Sections 2 to 4 of the *Prototype SFE*, apply)(13) .
2. The values and services for this *Prototype Agreement* are set out in the Tables A and B, and the other paragraphs below.
3. Although included in Table A the primary dental services provided under this *Prototype Agreement* do not include *orthodontic services* provided under regulation 18 of the *Regulations*.

TABLES AND VALUES FOR THE PURPOSES OF PAYMENTS UNDER THE PROTOTYPE SFE

4. The *Board* and the Contractor agree that the *Prototype Agreement* values set out in Table A and the services set out in Table B will apply to this *Prototype Agreement*.

[Values for the services to be provided under this Prototype Agreement should be inserted into Tables A and B. These tables should be amended and rows added or removed as necessary to reflect the values and services agreed by the Contractor and the Board.]

TABLE A – VALUES FOR THE PURPOSES OF PAYMENTS FOR THIS BLEND A PROTOTYPE AGREEMENT

<i>SFE</i>	<i>Explanation</i>	<i>Value (£)</i>	<i>Units</i>
<i>Negotiated Annual Prototype Value – (NAPV)</i>	<i>Total value of Prototype SFE and any GDS SFE 2013 payments, including payments for orthodontic activity, specified services,</i>		n/a

(13) The *Board* and the Contractor must agree the Blend of *Prototype Agreement* for the purposes of calculations of payments in accordance with the *Prototype SFE*. The paragraphs not applicable must be deleted.

*Standard GDS Contract Variation Notice for participants in the Prototype Agreements
Scheme – November 2015*

	<i>seniority, foundation training, special leave and rates reimbursement payments</i>		
<i>Negotiated Annual Prototype Value – Blend A (NAPVA)</i>	<i>Total value of annual Prototype SFE and GDS SFE 2013 payments, including payments for orthodontic activity, specified services, seniority, foundation training, special leave and rates reimbursement payments for Blend A Prototype Agreement</i>		n/a
<i>Actual Annual Prototype Value – (AAPV)</i>	<i>Total value of annual payments after deduction from NAPV of payments for orthodontic activity, specified services, seniority, foundation training, special leave and rates reimbursement</i>		n/a
<i>Actual Annual Prototype Value – Blend A (AAPVA)</i>	<i>Total value of annual payments after deduction from NAPV of payments for orthodontic activity, specified services, seniority, foundation training, special leave and rates reimbursement for Blend A Prototype Agreement</i>		n/a
<i>Payments for orthodontic activity under the PDS SFE 2013</i>	<i>Payments for orthodontic activity that is to be paid for separately under the GDS SFE 2013 (See Clause 365)</i>		X UOAs
<i>Annual Specified Services Payment (ASSP)</i>	<i>Total payment for all specified services (see Table B)</i>		n/a
<i>Pro-rated Actual Annual Prototype Value-Blend A (PAAPVA)</i>	<i>Pro-rated AAPVA for Blend A Prototype Agreement</i>		n/a
<i>Pro-rated Actual Annual Prototype Value – Blend A – Activity Element (PAAPVA-A)</i>	<i>Activity element of Pro-rated AAPVA agreement value for Blend A Prototype Agreements</i>		n/a
<i>Pro-rated Actual Annual Prototype Value – Blend A –Capitation Element (PAAPVA-C)</i>	<i>Capitation element of Pro-rated AAPVA agreement value for Blend A Prototype</i>		n/a

*Standard GDS Contract Variation Notice for participants in the Prototype Agreements
Scheme – November 2015*

	<i>Agreements</i>		
<i>Expected Minimum Activity (EMA)</i>	<i>Expected minimum level of units of dental activity to be delivered by the end of the financial year</i>	<i>n/a</i>	<i>X UDAs</i>
<i>Contractor's Expected Capitated Population (CECP)</i>	<i>Expected number of capitated patients to whom services will have been provided by the end of the financial year</i>	<i>n/a</i>	
<i>Activity and Capitation Performance Tolerance (ACPT)</i>	<i>Limit on the degree to which performance in terms of capitation can differ from performance in terms of activity</i>	<i>n/a</i>	<i>n/a</i>
<i>Contract Value Carried Forward – Previous Year (CVCF(Y-1))</i>	<i>Contract value carried forward from previous year (NB – adjusted for Secretary of State uplift determined for the current financial year)</i>		<i>n/a</i>

TABLE B – SERVICES TO BE PROVIDED UNDER THIS BLEND A PROTOTYPE AGREEMENT

<i>Specified services</i>		
<i>Activity</i>	<i>Value (£)</i>	<i>Units</i>
<i>Domiciliary services</i>		
<i>Sedation services</i>		
<i>Advanced mandatory services</i>		
<i>Access services</i>		
<i>Out of hours unscheduled care services</i>		
<i>[Other]</i>		
<i>[Other]</i>		
<i>Total</i>		<i>n/a</i>

STAYED UNITS OF DENTAL ACTIVITY

5. The *Board* and the Contractor agree that the number of *units of dental activity* that are temporarily stayed for the duration of this *Prototype Agreement* is [insert number of units of dental activity].

**PAYMENT ADJUSTMENT FOR PERFORMANCE AGAINST THE DENTAL
QUALITY OUTCOMES FRAMEWORK**

[NB – This section is only applicable for Blend A Prototype Agreements where the Contractor holds more than one Prototype Agreement that is included in the Prototype Agreement Scheme with the Board]

6. For the purpose of calculating payment adjustments for performance against the Dental Quality and Outcomes Framework, the *Board* and the Contractor agree that this *Prototype Agreement* will be combined with the following *Prototype Agreements*:

[Contract number *insert*]

[Contract number *insert*]

NHS COMMITMENT

7. The Contractor and the *Board* agree that the baseline average weekly time given to appointments in which an element of NHS dental care is delivered and reported to the NHS BSA in the remainder of the financial year is [*insert hours*].

HISTORICAL CAPITATED POPULATION NUMBER

8. The Contractor and the *Board* agree that the historical *capitated* population number baseline level that will apply to this *Prototype Agreement* is [*insert*].

SCHEDULE 1B

Blend B Prototype Agreements – Values and Services

BLEND B PROTOTYPE AGREEMENTS

1. This *Prototype Agreement* is a *Blend B Prototype Agreement* where this Schedule and Sections 5 to 7 of the *Prototype SFE* apply.(14).
2. The values and services for this *Prototype Agreement* are set out in Tables A and B below.
- 3 Although included in Table A the primary dental services provided under this *Prototype Agreement* do not include *orthodontic services* provided under regulation 18 of the *Regulations*.

TABLES AND VALUES FOR THE PURPOSES OF PAYMENTS UNDER THE PROTOTYPE SFE

4. The *Board* and the Contractor agree that the *Prototype Agreement* values set out in Table A and the services set out in Table B will apply to this *Prototype Agreement*.

[Values for the services to be provided under this Prototype Agreement should be inserted into Tables A and B. These tables should be amended and rows added or removed as necessary to reflect the values and services agreed by the Contractor and the Board.]

TABLE A – VALUES FOR THE PURPOSES OF PAYMENTS FOR THIS BLEND B PROTOTYPE AGREEMENT

<i>SFE</i>	<i>Explanation</i>	<i>Value (£)</i>	<i>Units</i>
<i>Negotiated Annual Prototype Value – (NAPV)</i>	<i>Total value of Prototype SFE and any GDS SFE 2013 payments, including payments for orthodontic activity, specified services, seniority, foundation training, special leave and rates reimbursement</i>		n/a

(14) The Schedule *not applicable* must be deleted.

*Standard GDS Contract Variation Notice for participants in the Prototype Agreements
Scheme – November 2015*

	<i>payments</i>		
<i>Negotiated Annual Prototype Value – Blend B (NAPVB)</i>	<i>Total value of annual Prototype SFE and GDS SFE 2013 payments, including payments for orthodontic activity, specified services, seniority, foundation training, special leave and rates reimbursement payments for this Blend B Prototype Agreement</i>		n/a
<i>Actual Annual Prototype Value – (AAPV)</i>	<i>Total value of annual payments after deduction from NAPV of payments for orthodontic activity, specified services, seniority, foundation training, special leave and rates reimbursement</i>		n/a
<i>Actual Annual Prototype Value – Blend B (AAPVB)</i>	<i>Total value of annual payments after deduction from NAPV of payments for orthodontic activity, specified services, seniority, foundation training, special leave and rates reimbursement for this Blend B Prototype Agreement</i>		n/a
<i>Payments for orthodontic activity under the PDS SFE 2013</i>	<i>Payments for orthodontic activity that is to be paid for separately under the GDS SFE 2013 (See Clause 365)</i>		X UOAs
<i>Annual Specified Services Payment (ASSP)</i>	<i>Total payment for all specified services (see Table B)</i>		n/a
<i>Pro-rated Actual Annual Prototype Value-Blend B (PAAPVB)</i>	<i>Pro-rated AAPVA for this Blend B Prototype Agreement</i>		n/a
<i>Pro-rated Actual Annual Prototype Value – Blend B – Activity Element (PAAPVB-A)</i>	<i>Activity element of Pro-rated AAPVA Prototype Agreement value for this Blend B Prototype Agreement</i>		n/a
<i>Pro-rated Actual Annual Prototype Value – Blend B –Capitation Element (PAAPVB-C)</i>	<i>Capitation element of Pro-rated AAPVA Prototype Agreement value for this Blend B Prototype</i>		n/a

*Standard GDS Contract Variation Notice for participants in the Prototype Agreements
Scheme – November 2015*

	<i>Agreement</i>		
<i>Expected Minimum Activity (EMA)</i>	<i>Expected minimum level of units of dental activity to be delivered by the end of the financial year</i>	n/a	X UDAs
<i>Contractor's Expected Capitated Population (CECP)</i>	<i>Expected number of capitated patients to whom services will have been provided by the end of the financial year</i>	n/a	
<i>Activity and Capitation Performance Tolerance (ACPT)</i>	<i>Limit on the degree to which performance in terms of capitation can differ from performance in terms of activity</i>	n/a	n/a
<i>Contract Value Carried Forward – Previous Year (CVCF(Y-1))</i>	<i>Contract value carried forward from previous year (NB – adjusted for Secretary of State uplift determined for the current financial year)</i>		n/a

TABLE B – SERVICES TO BE PROVIDED UNDER THIS BLEND B PROTOTYPE AGREEMENT

<i>Specified services</i>		
<i>Activity</i>	Value (£)	Units
<i>Domiciliary services</i>		
<i>Sedation services</i>		
<i>Advanced mandatory services</i>		
<i>Access services</i>		
<i>Out of hours unscheduled care services</i>		
<i>[Other]</i>		
<i>[Other]</i>		
<i>Total</i>		n/a

STAYED UNITS OF DENTAL ACTIVITY

5. The *Board* and the Contractor agree that the number of *units of dental activity* that are temporarily stayed for the duration of this *Prototype Agreement* is [insert number of units of dental activity].

**PAYMENT ADJUSTMENT FOR PERFORMANCE AGAINST THE DENTAL
QUALITY OUTCOMES FRAMEWORK**

[NB – This section is only applicable for Blend B Prototype Agreements where the Contractor holds more than one Prototype Agreement that is included in the Prototype Agreements Scheme with the Board]

6. For the purpose of calculating payment adjustments for performance against the Dental Quality and Outcomes Framework, the *Board* and the Contractor agree that this *Prototype Agreement* will be combined with the following *Prototype Agreements*:

[Contract number *insert*]

[Contract number *insert*]

NHS COMMITMENT

7. The Contractor and the *Board* agree that the baseline average weekly time to be given to appointments in which an element of NHS dental care is delivered and reported to the NHS BSA for the remainder of the financial year is [*insert hours*].

HISTORICAL CAPITATED POPULATION NUMBER

8. The Contractor and the *Board* agree that the historical *capitated* population number baseline level that will apply to this *Prototype Agreement* is [*insert*].

I/We [] acknowledge receipt of the notice of variation dated [] of which the above is a duplicate. I/We acknowledge that this notice will take effect from [].

Date:

Signed:

Print name:

[on behalf of]:

*Standard GDS Contract Variation Notice for participants in the Prototype Agreements
Scheme – November 2015*