



Dental contract reform programme

Introduction to prototypes

Capitation

- The prototypes are based on a blended remuneration system where a practice's contract value and remuneration for mandatory services is split between:
 - a **capitation element** for which the practice is expected to have a minimum number of capitated patients on their list
 - an **activity element** for which the practice is expected to deliver a minimum level of activity
- The expected patient list will be based on the number of patients seen by the practice for an NHS appointment in the three year period prior to 31 March 2017 The expected patient list will therefore be adjusted to remove patients who have attended the practice for the following reasons in that period:
 - referrals
 - urgent treatment
 - charge exempt courses of treatment
 - relevant changes in delivered and commissioned levels of UDAs
- Please note that patients who attend the practice in the future for these reasons, will not be counted towards the capitated list but treatment provided will count towards the expected minimum activity for practice (for more details please see the introduction to activity guide)
- This figure is formally described as the contractor's expected capitated population (CECP)
- A practice's expected patient list excludes patients last seen by a foundation trainee (FT) at the practice
- A new patient joins the practice's capitation list when they attend for an oral health assessment (OHA)

- A patient remains on this list for a period of three years unless they attend for NHS treatment elsewhere, except where the patient attended another practice for urgent, referral and charge exempt treatment. In these cases the patient remains on their host practice list

- The three year capitation clock will re-set:
 - At an interim care (IC) course of treatment (CoT)
 - At an oral health review (OHR)

- As the treatment needs of the practice population change over time there is flexibility within the prototype remuneration system which allows a practice to compensate for the lower activity requirements of their patients by seeing more patients. This is referred to as the exchange mechanism. There is a modelling tool and further details on the exchange mechanism available on the website to assist practices in calculating the number of patients they need to see in exchange for the number of prototype UDAs they will not deliver

- A series of prototype reports are produced on a monthly basis which provide a summary updated position for the practice's capitated patient list together with a more detailed breakdown of leavers, joiners and those patients about to lapse from the practice capitated list.