
Requirements and Guidance for Endorsement in the Electronic Prescription Service (EPS)

This guidance is produced to support the endorsement requirements specified in the NHS England and Wales Drug Tariff, and where applicable references to the Drug Tariff are included against Prescriber Endorsement Requirements or Dispenser Endorsement Requirements.

Users are reminded that the authority on all endorsements remains the Drug Tariff and Regulations, where appropriate, and that this document must always be read in conjunction with the latest monthly version of the Drug Tariff.

Amendment History:

Version	Date	Amendment History
0.1	28/09/2004	First draft for comment
0.2	10/02/2005	Second draft for review
0.3	16/02/2005	Third draft for review
0.4	07/03/2005	Incorporating comments from the PPA
1.0	08/04/2005	Updates following publication of the Drug Tariff on 29th March 2005.
2.0a	12/08/2005	Updated with additional guidance for dispenser endorsements and comments from the PPA
2.0b	22/08/2005	Incorporating comments from the PPA
2.0	23/08/2005	Marked as approved
2.1	11/04/2006	Clarification of pharmacist signature or initials. PPA renamed to PPD. Clarification for capture of additional information.
3.0	09/06/2006	Marked as approved following PPD amendments
3.1	03/07/2006	Removal of 'price' additional information for NCSO. Clarification to date format within additional information. More HL7 examples added to Section 5.2. Correction to AMPP/AMP definitions within Glossary of Terms.
4.0	03/07/2006	Issued for approval
4.1	08/02/2007	Assorted Flavours endorsement added. Removal of glossary of terms entries as defined elsewhere. Reformatted with new CFH template.
4.2	08/05/2007	Replaced 'ZD' with 'DNG'. Clarification to usage of 'ND' added.
4.3	17/05/2007	Removed text "if more than one pack size then AMPP" from page 7
4.4	04/07/2007	Clarification on use of 'ND' and 'AI' endorsements
5.0	31/07/2007	Marked as approved
5.1	19/12/2007	NDEC – No Dispenser Endorsement Code to be used when no other endorsement is applied Additional Information to support AI endorsement Reviewers names changed PPD renamed to NHSBSA PPD (Organisation name changed)
5.2	22/01/2008	Clarification to use of 'NDEC' endorsement.
5.3	06/02/2008	Clarification of dispensed SnoMed code required when VMP prescribed
6.0	23/05/2008	Marked as approved
6.1	17/07/08	Amendments made to the 'IP' endorsement and removal of a footnote concerning the 'AI' endorsement.
6.2	17/03/2009	PPD renamed to NHS Prescription Services Amendment made to Dispenser Endorsement Requirements where EPS Notification Claim changed to EPS Dispense Claim. A further note added to Dispenser Endorsement Requirements. Supplier added to additional information required for endorsement of price.
6.3	21/10/2011	Document reviewed and updated Inclusion of two new dispensing endorsements SP and ED Inclusion of a table denoting which endorsements are applicable to different dispensing contractors

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6.4	13/12/2011	Further update to endorsements following telecom between Department of Health and NHSBSA
6.5	05/04/2012	Additional Information and Limited Stability marked as obsolete wef 01 April 2012 following changes in the April 2012 Drug Tariff.
6.6	27/06/12	Reference column updated to reflect the July 2012 Drug Tariff changes to Out of Pocket Expenses and Broken Bulk. Invoice Price (IP) endorsement clarified to reflect Drug Tariff requirement for pack size to be included.
6.7	01/04/2013	Updated to reflect new NHS Regulations. Correction to dispenser endorsement table. Prescriber AF endorsement updated to reflect authoring changes in dm+d. Dispenser notes 4.1.5 (pack size) added. Updated to reflect amendments made to Drug Tariff Part VIII B notes wef 01 April 2013.
7.0	09/08/18	Updated to include reference to new prescriber endorsement for sexual health Updated to incorporate PD(n) addendum that was published separately in May 2015 Document re-formatted, updated and further clarification provided regarding order of additional information and which elements are a 'must' requirement XML examples replaced with examples within tables Clarification of exactly how the NHSBSA require the price to be formatted Removal of reference to IP for Suprapubic belts; replacements only Obsolete endorsements removed and placed in appendix
7.1	15/05/19	Updated to include reference to 2 new prescriber endorsements Inclusion of Entitlement to Exemption and Charge Paid coded EPS values table as appendix B
7.2	27/09/2019	All references to the electronic claim message changed to Electronic Reimbursement Endorsement Message (EREM). Inclusion of Drug Tariff guidance (October 2019) for SSP under NCSO section Inclusion of requirement that free of charge items are prescribed on separate prescriptions to other items. 0017, 0018 and 0019 Exemption values combined into one exemption code Inclusion of appendix C EPS Prescription type codes
7.3	29/11/2019	0017, 0018 and 0019 Exemption values to be separate codes
7.4	10/07/2020	Updated to include new Dispenser endorsement for Serious Shortage Protocol – SSP
7.5	19/10/2020	Updated to clarify definition of exemption value 0015 in Appendix B to include reference to only use case of 'HMP' prescribing. Updating of Appendix C to include new prescription type codes for Secondary Care and retiring of code 0123.
7.6	17/11/2020	Serious Shortage Protocol (SSP) updated to clarify single dispensed item SSPs and multiple dispensed item SSPs and to include a workaround for a multiple dispensed item SSP.

Approvals:

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Document Status:

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1 About this Document

1.1 Purpose

The purpose of this document is to define the endorsements required by NHS Prescription Services of the NHS Business Services Authority (NHSBSA) to aid its reimbursement and remuneration process for the Electronic Prescription Service (EPS).

The NHS England and Wales Drug Tariff is compiled on behalf of the Department of Health and Social Care for England by NHS Prescription Services. It is updated on a monthly basis and an electronic version of the Drug Tariff is also available on the NHSBSA website at www.nhsbsa.nhs.uk/prescriptions.

The EPS Endorsement Guidance must be read in conjunction with the latest monthly version of the Drug Tariff.

Queries with regards to endorsements should be referred to the NHS Prescription Services Prescription Pricing Helpdesk 0300 330 1349, nhsbsa.prescriptionservices@nhs.net

1.2 Audience

This document has been written for EPS system suppliers, prescribers and dispensers

1.3 Content

This document comprises the following sections / topics;

1. Background
2. Prescriber endorsements
3. Dispenser endorsements
4. Implementation of endorsement within HL7 messaging.

2 Background

2.1 Introduction

Around 1.5 million prescriptions are issued every working day in England. With this figure expected to continue rising by around five per cent per year, the NHS is moving to a more efficient and consistently accurate electronic system that is better able to cope with this continuing increase in prescription volumes.

In particular, about 70 per cent of prescriptions are for repeat medication. The Electronic Prescription Service has been designed to reduce the paper administration associated with current prescribing and dispensing processes by enabling prescriptions to be generated, transmitted and received electronically. Dispensing contractors will also be able to submit reimbursement endorsements electronically to support payment claims for medication and appliances supplied.

2.2 The NHS Business Services Authority

The NHSBSA is responsible, amongst other things, for the payment of community dispensers for the drugs, medicines, appliances and services they provide under their national terms of service and for the provision of management information relating to the dispensing and supply of drugs.

3 Prescriber Endorsement Requirements

When prescribing in EPS Release 2 a prescriber will either prescribe generically by prescribing a virtual medicinal product (VMP) – VMP name and associated SNOMED code or by prescribing a proprietary/branded actual medicinal product from a manufacturer/supplier (AMP) – AMP description and associated SNOMED code.

A prescribing system must prevent the prescribing at dm+d pack level using VMPP or AMPP concepts.

A prescriber endorsement must be populated in the 'Prescriber endorsement' field within the HL7 message. Only prescriber endorsements within this field can be taken into consideration for reimbursement and remuneration.

Prescribers must not 'free text' prescriber endorsements into any other fields for example dosage instructions or 'additional instructions' also known as medication specific information or notes to Pharmacy.

All prescribing systems generating NHS prescriptions (EPS messages or any of the paper FP10 variants) must ensure that all prescriber endorsements, as published in this document, are available to a prescriber and allow a prescriber to endorse as and when required.

Prescribed items for 'free of charge items', for example contraceptives and treatment of an STI items endorsed FS should not be included on the same prescription as other items. Prescribing systems should assist prescribers by splitting items onto separate prescriptions where a combination of FS endorsed STI medication (or other free of charge) and other medication items are prescribed at the same time.

The following table provides the prescriber endorsements required by the NHSBSA to aid its reimbursement and remuneration process.

3.1 Prescriber Endorsements

Endorsement Code	Process/Endorsement Description	Additional Information Required	Reference
ACBS	<p>Prescriptions for products recommended by the Advisory Committee on Borderline Substances</p> <p>In certain conditions some foods and toilet preparations have the characteristics of drugs and the Advisory Committee on Borderline Substances advises as to the circumstances in which such substances may be regarded as drugs. The Advisory Committee's recommendations are listed in the Drug Tariff Part XV.</p> <p>Prescriptions issued in accordance with the Committee's advice and endorsed "ACBS" will normally not be investigated.</p>	(none)	Drug Tariff Part XV

AF	<p>Prescriptions for food replacement/food supplement products available in a variety of flavours</p> <p>May be used to request that an assortment of flavours be supplied when prescribing food replacement/supplement products.</p> <p>An AMP with a supplier of (Flavour Not Specified) has been populated in dm+d to facilitate this.</p>	(none)	
CC	<p>Contraceptive</p> <p>A drug used for contraceptive purposes which is not listed in Part XVI Section 10 must be endorsed with the female symbol or equivalent by the prescriber in order not to attract a prescription charge.</p>	(none)	Drug Tariff Part XVI Section 10 This replaces the female symbol.
To be confirmed	<p>Mental Health</p> <p>Any item prescribed for the treatment of Mental Health under Section 117 of the Mental Health Act must be endorsed with <<>> by the prescriber in order not to attract a prescription charge</p>	(none)	To be added to Drug Tariff Part XVI when confirmed
FS	<p>Sexual Health</p> <p>Any item prescribed for the treatment of an STI must be endorsed with FS by the prescriber in order not to attract a prescription charge</p>	(none)	Drug Tariff Part XVI Section 10.2
SLS	<p>Selected List Scheme</p> <p>Drugs and appliances to be prescribed in certain circumstances under the NHS Pharmaceutical Services. The prescriber must endorse the prescription with the reference "SLS".</p>	(none)	Drug Tariff Part XVIII B Drug Tariff Part IX beside the listing of the relevant appliance
To be confirmed	<p>Tuberculosis</p> <p>Any item prescribed for the treatment of Tuberculosis must be endorsed with <<>> by the prescriber in order not to attract a prescription charge</p>	(none)	To be added to Drug Tariff Part XVI when confirmed

4 Dispenser Endorsement Requirements

- When using the EPS and receiving prescriptions electronically, the 'Prescription Release Response' HL7 message will always contain the prescribed medication items encoded. Thus the drug strength and quantity will always be contained within the electronic message so can be used by dispensing systems. The endorsements Prescriber Contacted (PC) and Prescriber Not Contacted (PNC) will not be required for endorsement in EPS Release 2
- The supplied line item quantity is contained within the 'Dispense Notification' message. The quantity field will always be populated. However dispensing contractors must verify the quantity where a quantity is supplied at variance with that ordered
- The paper based endorsement of ND used to endorse an item as 'not dispensed' is not used in EPS. Prescribed items for which no dispensing activity has been recorded have the default item status code '0002'. When recording endorsements electronically within the Electronic Prescription Service the item status code '0002' is used instead of an 'ND' endorsement.
- Where a supplier is required to be used in an endorsement it is recommended, from a governance perspective, that the user is given the option to replace non-specific dm+d suppliers for example 'Special Order', 'Imported (*Country*)', 'Flavour Not specified' with the name of the actual supplier
- When a pack size (quantity + unit of measure) is required to be used in an endorsement this must be the pack size from which the order was supplied. In dm+d 'Special Order' products have a pack size of 1ml, 1 gram, 1 tablet etc. These pack sizes must not be used in an endorsement unless this was the actual pack size from which the order was supplied
- Where endorsements with multiple statements within additional information are required they should be separated using commas

4.1 Dispensed Product/Pack

Information about what medication/device has been dispensed is submitted to NHS Prescription Services by using NHS dm+d concepts – a SNOMED code plus a name/description.

For example when a Part VIII generic medicinal product is prescribed and there is only one pack listed in the Drug Tariff then no dispensed medication item information is required so the dispenser would return the prescribed VMP (Virtual Medicinal Product). If however two pack sizes were listed in the Drug Tariff then the dispenser would need to return the pack size that they have dispensed the medication from ie they would return a VMPP (Virtual Medicinal Product Pack) as the VMPP provides the pack size information.

The following table identifies which 'dm+d concept' needs to be returned as the dispensed product for a range of scenarios:

Prescribed NHS dm+d SNOMED code	Dispensed NHS dm+d SNOMED code required
VMP (Part VIII generic medicinal product)	VMPP or 'nothing' ¹
VMP (Non-Part VIII generic medicinal product)	AMPP or AMP ²
AMP (Proprietary/Branded medicinal product)	AMPP or 'nothing' ¹
VMP (Part IX generic medical device) Product listed only generically in the Drug Tariff Part IX with no actual products listed e.g. Absorbent Lint BPC	VMPP or 'nothing' ¹
VMP (Part IX generic medical device –where the VMP is an official title i.e. B.P. or Drug Tariff Specification) Proprietary/branded medical devices are listed in the Drug Tariff Part IX	AMPP or AMP VMPP or 'nothing' ¹ only if the VMP has a Drug Tariff price
AMP (Part IX Proprietary/Branded medical device)	AMPP, AMP or 'nothing' ¹

Notes.

1. Dispensed medication item information only needs to be submitted to NHS Prescription Services for some items. If no dispensed medication item information is submitted, the Electronic Reimbursement Endorsement Message (EREM) must be defaulted to the prescribed dm+d SNOMED code and description.
2. If a non Part VIII generic VMP is prescribed and the AMP that the Pharmacist wishes to dispense is not in dm+d the VMP plus an invoice price must be returned.

If a non Part VIII generic VMP is prescribed and the AMPP being supplied has no price in dm+d then an invoice price endorsement must be returned.

4.2 Dispenser Endorsements by Contractor Type

The following table details which dispensing endorsements are applicable to different dispensing contractors.

Endorsement Code	Pharmacy Contractor	Dispensing Doctor	Dispensing Appliance Contractor
BB	Yes	Yes	Yes
MF	Yes	Not applicable	Yes
NCSO	Yes	Not applicable	Not applicable
SSP	Yes	Yes	Yes
XP	Yes	Yes	Yes
PD(n)	Yes	Not applicable	Not applicable
IP	Yes	Yes	Yes
RC	Yes	Yes	Not applicable
SP	Yes	Not applicable	Not applicable
ED	Yes	Not applicable	Not applicable
NDEC	Yes	Yes	Yes

The following tables provide the dispenser endorsements required by the NHS Prescription Services to aid its reimbursement and remuneration process.

- Where (none) is stated in the additional information no information is required nor should any information be populated in this field
- Following a number of live issues relating to prices submitted to the NHSBSA the structure of where a price is populated is clarified as follows:
 - The price must begin with £
 - The amount must be expressed in both pounds and pence as follows: £2.00, £3.45, £123.85
 - Values less than one pound must be expressed as £0.60, £0.85. £0.99

Broken Bulk

Endorsement code	Additional Information required	Reference
BB	(none)	<p>Drug Tariff Part II, Clause 11</p> <p>A This clause applies to drugs (including ingredients used when preparing a special under the manufacturing part of the Section 10 exemption from the Medicines Act 1968 on the pharmacy premises), incontinence and stoma appliances in Part IXB and IXC, chemical reagents, with the following exceptions: items supplied in special containers covered by Clause 10B, drugs listed in Category A and M whose smallest pack size has a price of less than £50 and specials and imported products covered by Part VIII B.</p> <p>B When the quantity ordered on a prescription form is other than the minimum quantity the manufacturer, wholesaler or supplier is prepared to supply and the contractor, having purchased such minimum quantity as may be necessary to supply the quantity ordered cannot readily dispose of the remainder, payment will be made for the whole of the quantity purchased. Subsequent prescriptions, received during the next six months, will be deemed to have been supplied from the remainder and no further payment will be made to drug costs other than fees and consumables and container allowances until that remainder has been or is deemed to have been used up. Thereafter, contractors must endorse prescription forms to indicate when a claim for payment is being made. The prescription form must be endorsed 'BB' and with the pack size supplied to claim payment.</p> <p>In EPS a system must return the VMPP or AMPP. If the pack dispensed is not in dm+d and a VMP/AMP is being returned then an IP endorsement, which includes the pack size must be sent.</p>

Measured & fitted

Elastic hosiery, trusses and parastomal garments requiring measurement

Endorsement code	Additional Information required	Reference
MF	(none)	<p>Drug Tariff Part IIIA 2B(a)/(b)(c)</p> <p>Drug Tariff Part IIIB 2A (a)(b)(c)</p>

No Cheaper Stock Obtainable

Endorsement code	Additional Information required	Reference
NCSO	<p>< Pharmacist initials> <Date of dispensing (format “yyymmdd”)> <Supplier, manufacturer or brand name> <Pack size (quantity + unit of measure)></p> <p>- Where the product dispensed does not have a price on dm+d then in addition an IP endorsement must also be sent, ie both an NCSO and an IP endorsement are required</p>	<p>Drug Tariff Part II Clause 9C</p> <p>Where the preparation is in Part VIII of the Tariff and, as described in Clause 8B, in the opinion of the Secretary of State for Health and Social Care and the Welsh Ministers there is no product available to contractors at the appropriate price, endorsements of brand name or of manufacturer or wholesaler of the product and pack size so used may be accepted. Contractors shall not so endorse unless they have made all reasonable efforts to obtain the product at the appropriate price but have not succeeded. The endorsement shall be initialled and dated by or on behalf of the contractor, and shall be further endorsed "no cheaper stock obtainable" or "NCSO" to indicate that the contractor has taken all such responsible steps</p>

Example of an item that is priced on dm+d: **[NCSO] [KF,20180801,ABCD Ltd,30ml]**

Example of an item where there is no price on dm+d:

[NCSO] [KF,20180802,EFGH Ltd,15gram]

[IP] [15gram,£12.34,EFGH Ltd]

Please note that as NCSO has not been granted since April 2013 the NCSO dispenser endorsement is currently being used for the Serious Shortage Protocol (SSP) workaround as detailed below

Serious Shortage Protocol

It is the intention that from **01 April 2021** that the current workaround for Serious Shortage Protocol (SSP) in EPS will no longer be allowed to be used and all EPS prescriptions dispensed under SSP use the SSP endorsement as detailed below.

Workaround up until 31 March 2021:

Drug Tariff Part II Clause 9E

Where a contractor supplies a product in accordance with a SSP, the contractor must, in respect of -
 b electronic prescription forms, either –

(i) indicate that a supply was made in accordance with a SSP on the Electronic Reimbursement Endorsement Message (EREM) by marking on it “ND” or ‘Not Dispensed’ and use the EPS token to endorse it with “NCSO”, and any other information required under Clause 9 (apart from Clause 9C). The Pricing Authority for England will check that the EPS token matches the EREM. If a contractor submits multiple tokens linked to one EREM, only one will be used for pricing; or

(ii) endorse the EREM with “NCSO” and input into the endorsement field any other information required under Clause 9 (apart from Clause 9C).

SSP Endorsement from 01 April 2021:

Dispensing an EPS prescription where an alternative product/quantity is being dispensed under a published, valid Serious Shortage Protocol:

- The system must allow the alternative product to be chosen as the dispensed product and the VMPP or AMPP of the alternative product must be returned in the dispense notification
- The system must warn the User that the pack chosen is not a 'child' of the prescribed product and/or where there is a quantity difference a warning must also be displayed to the User
- For audit purposes the system must record both the product that was prescribed and the product that was dispensed in the patient's record
- The dispenser endorsement code of SSP along with the appropriate three digit numeric code in the additional information field must be supplied for each dispensed line item
- If an existing SSP with a two digit code is still available then the two digit code must be prefixed with a leading zero to create a three digit code
- There are two types of SSP that may be granted:
 - Single Dispensed Product SSP – where a prescribed item is replaced by **one** replacement item
 - Multiple Dispensed Product SSP – where a prescribed item is replaced by **two or more** items
- SSPs granted for multiple dispensed products will **not** allow a choice for one of the replacement items but will be granted as separate SSPs – one for each combination
- SSPs granted for single dispensed products will allow a choice for the replacement

Endorsement code	Additional Information required	Reference
SSP	<p>< three digit numeric code ></p> <p>The contractor must supply the three digit numeric code associated with the SSP that they are dispensing under.</p> <p>The SSP requirements and code must be adhered to and will be published on the NHSBSA website:</p> <p>https://www.nhsbsa.nhs.uk/pharmacies-gp-practices-and-appliance-contractors/serious-shortage-protocols-ssps</p> <p>No other information must be populated in this field</p> <p>The system must prevent more than three digits being input and must ensure that three digits are always input through the use of leading zeros i.e. 001 and 023 and <u>not</u> 1 or 23</p>	<p><i>Proposed Text for Drug Tariff Part II Clause 9E</i></p> <p>Endorse the EREM with "SSP", send a 'supplied line item' for each different product supplied and input into the additional information required field the three digit numeric code as published on the NHSBSA website and any other information required under Clause 9 (apart from Clause 9C)</p>

Examples of Single Dispensed Product SSPs:

EPS Rx issued for Fluoxetine 10mg capsules x 28 capsule and Fluoxetine 10mg tablets dispensed VMPP/AMPP of Fluoxetine 10mg tablets, quantity dispensed 28 tablet, returned with [SSP] [001]

EPS Rx issued for Fluoxetine 10mg capsules x 28 capsule and Fluoxetine 20mg/5ml oral solution dispensed VMPP/AMPP of Fluoxetine 20mg/5ml oral solution, quantity dispensed 70 ml returned with [SSP] [001]

EPS Rx issued for Fluoxetine 40mg capsules x 28 capsule and Fluoxetine 20mg capsules dispensed VMPP/AMPP of Fluoxetine 20mg capsules, quantity dispensed 56 capsule, returned with [SSP] [003]

Examples of Multiple Dispensed Product SSPs:

EPS Rx issued for Fluoxetine 30mg capsules x 28 capsule and Fluoxetine 10mg tablets and Fluoxetine 20mg capsules dispensed VMPP/AMPP of Fluoxetine 10mg tablets, quantity dispensed 28 tablet, returned with [SSP] [002]
VMPP/AMPP of Fluoxetine 20mg capsules, quantity dispensed 28 capsule, returned with [SSP] [002]

Where a system supplier can dispense multiple products for a single prescribed item then they must do this as detailed above.

Where a system supplier cannot currently dispense multiple products against one prescribed item the following work around would apply:

- Using EPS the contractor would dispense and claim ONE of the items in the published SSP, returning that VMPP/AMPP along with the SSP endorsement code and the SSP three digit number
- The contractor would then dispense the other item manually
- Any electronic reimbursement endorsement message (EREM) received by the NHSBSA with the SSP endorsement of a multiple dispensed product SSP would have any remaining items from the SSP added and reimbursed

EPS Rx issued for Fluoxetine 30mg capsules x 28 capsule and Fluoxetine 10mg tablets and Fluoxetine 20mg capsules dispensed

Either

VMPP/AMPP of Fluoxetine 10mg tablets, quantity dispensed 28 tablet, returned with [SSP] [002]
Fluoxetine 20mg capsules dispensed manually
NHSBSA receive EREM for Fluoxetine 10mg tablets endorsed [SSP] [002] and add in Fluoxetine 20mg capsules x 28 capsule

Or

VMPP/AMPP of Fluoxetine 20mg capsules, quantity dispensed 28 capsule, returned with [SSP] [002]
Fluoxetine 10mg tablets dispensed manually
NHSBSA receive EREM for Fluoxetine 20mg capsules endorsed [SSP] [002] and add in Fluoxetine 10mg tablets x 28 tablet

Out of Pocket Expenses

Expenses exceeding 50 pence can be claimed where incurred in exceptional circumstances when obtaining an item not required to be frequently supplied.

Out of pocket expenses may not be claimed for items priced in Drug Tariff Part VIIIA Category A and M, Part VIIIB, Part IXA, Part IX R and all other specials and imported products not listed

Dispensing Doctors, under their terms of service (paragraph 17.3 NHS General Medical Services Statement of Fees and Allowances as amended), are required to use the XP endorsement to claim a £20 payment when supplying an unlicensed medicine covered by the Part VIIIB arrangements.

Endorsement code	Additional Information required	Reference
XP	<p><Full particulars of claim> <Total value ></p> <p>The value must be expressed in the following format: £12.34 or £0.99</p>	<p>Drug Tariff Part II Clause 12.</p> <p>Where, in exceptional circumstances, out-of-pocket expenses have been incurred in obtaining a drug, appliance or chemical reagent other than those priced in Part VIIIA Category A and M, Part VIIIB, Part IXA, Part IXR of the Tariff and all other specials and imported products not listed and not required to be frequently supplied by the contractor, or where out-of-pocket expenses have been incurred in obtaining oxygen from a manufacturer, wholesaler or supplier specially for supply against a prescription, where such expenses on any occasion exceed 50p payment of the full amount may be made where the contractor sends a claim giving particulars to the Pricing Authority on the appropriate prescription form. The endorsement shall be 'XP' to indicate the contractor has taken all reasonable steps to avoid claiming.</p>

Examples:

[XP] [Postage & Packing,£3.65]

[XP] [Telephone call,£0.85]

Packaged Doses

Oral liquid methadone packaged as separate doses.

Where the quantity dispensed to the patient for a prescription for oral liquid methadone covers more than one dose and the contractor has packaged each dose separately

Where n = number of doses packaged separately minus the number of times the contractor has dispensed the medicine to the patient**

Where a numeric value is not provided, the contractor will be paid one fee. If the number of occasions when the medicine is dispensed equals the number of doses that have been packaged separately, this fee is not payable

**an interaction with the patient where oral liquid methadone has been supplied

Endorsement code	Additional Information required	Reference
PD	<p><n></p> <p>This field should be populated with a whole positive integer, which could be a zero value</p>	<p>Drug Tariff Part IIIA 2D</p> <p>NHS (Pharmaceutical and Local Pharmaceutical Services) Regulations 2013</p>

Example

Five doses have been packaged separately and have been dispensed on one occasion

n is 5-1 = 4

[PD] [4]

Price

The price endorsement is made up of five parts and must be populated in the order specified. The first three parts are mandatory for all price endorsements and the latter two are only mandatory for 'specials' and 'imports' as detailed below

- The price endorsed must be for the pack size used to dispense and not the quantity dispensed
- When a pack size (quantity + unit of measure) is required to be used in an endorsement this must be the pack size from which the order was supplied. In dm+d 'Special Order' products have a pack size of 1ml, 1 gram, 1 tablet etc. These pack sizes must not be used in an endorsement unless this was the actual pack size from which the order was supplied
- Where a non-specific supplier such as Special Order, Imported, Flavour Not Specified has been selected then the user must be able to enter the actual supplier into the endorsement

Endorsement code	Additional Information required	Reference
IP	<p><Price></p> <p><Pack Size></p> <p><Supplier></p> <p><Manufacturer/Importer's Licence Number></p> <p><Batch number of the unlicensed medicine></p> <p>The price must be expressed in the following format: £12.34 or £0.99</p>	<p>Price endorsed should be the 'total net price before discount and ex-VAT'</p> <p>except for</p> <p>Preparations manufactured under an MHRA specials licence or sourced under an MHRA importers licence the invoice price must be the actual price paid for the product i.e. less any discount or rebate which may be linked to the procurement of this product.</p> <p>The price endorsed should be the price, as defined above, for the pack supplied and in addition to comply with Part II clause 9B the endorsement must include the pack size: "Prescriptions for drugs not listed in Part VIII of the Tariff shall be endorsed by the contractor with the pack size from which the order was supplied...."</p>

Example:

A pack of 28 tablets of Brand C tablets (XYZ Ltd) costing £9.50 for 28 tablets is used to dispense a prescription for 56 Brand C tablets:

[IP] [£9.50,28 tablet,XYZ Ltd]

For a 'special' ordered from Specials Lab Ltd costing £423.00 for 200ml

[IP] [£423.00,200ml,Specials Lab Ltd,ML12345,BN989898C]

Rebate Claimed

If alcohol (96%), rectified spirit (ethanol 90%) or any other dilute ethanol is prescribed as an ingredient of a medicine for internal use, the price of the duty paid alcohol will be paid. If the duty has been reclaimed, endorse the prescription 'rebate claimed' and the price will be calculated on that of duty-free alcohol.

Endorsement code	Additional Information required	Reference
RC	(none)	Drug Tariff Part VIII Note 7.2 Where Alcohol (96%), or Rectified Spirit (Ethanol 90%), or any other of the dilute Ethanol is prescribed as an ingredient of a medicine for internal use, the price of the duty paid to Customs and Excise will be allowed, unless the contractor endorses the prescription form "rebate claimed".

Special

Preparations manufactured under an MHRA special licence or sourced under an MHRA importers licence.

This endorsement is applicable to pharmacy contractors only.

Endorsement code	Additional Information required	Reference
SP	(none)	Drug Tariff Part IIIA Drug Tariff Part VIII B notes

Extemporaneously dispensed

Preparations prepared under the Section 10 exemption from the Medicines Act 1968
This endorsement is applicable to pharmacy contractors only.

Non-Part VIII B listed products manufactured under a section 10 exemption are currently out of scope for the Electronic Prescription Service.

Endorsement code	Additional Information required	Reference
ED	(none) Where the product is listed in Part VIII B no additional information is required to claim the ED professional fee. Further endorsement may be required for other purposes	Drug Tariff Part IIIA Drug Tariff Part VIII B notes

No Dispenser Endorsement Code

This endorsement does not apply to paper-based prescription endorsing.

In EPS within the Electronic Reimbursement Endorsement Message (EREM) for dispensed items the endorsement code field is mandatory. Where none of the above endorsement codes are required this field must be populated with NDEC as positive confirmation that the absence of other endorsements is intentional.

Endorsement code	Additional Information required	Reference
NDEC	(none)	

5 Appendix A - Obsolete Endorsements

It is strongly recommended that obsolete endorsements should be disabled in dispensing systems to prevent the accidental use of them by contractors.

Endorsement Code		Pharmacy Contractor	Dispensing Doctor	Dispensing Appliance Contractor
AI	Obsolete from 01 April 2012	Obsolete	Obsolete	Not applicable
DNG	Obsolete from 01 November 2011	Obsolete	Not applicable	Not applicable
LS	Obsolete from 01 April 2012	Obsolete	Not applicable	Not applicable
PC	Not required in EPS Release 2	*	Not applicable	Not applicable
PNC	Not required in EPS Release 2	*	Not applicable	Not applicable

* When using the EPS and receiving prescriptions electronically, the 'Prescription Release Response' HL7 message will always contain the prescribed medication items encoded. Thus the drug strength and quantity will always be contained within the electronic message so can be used by the dispensing system. The endorsements Prescriber Contacted and Prescriber Not Contacted would not be required in this instance

6 Appendix B – Exemption and Charge Paid coded EPS values

New FP10 form	EPS Message Code	Exemption/Charge paid/Free-of-charge Description	Notes
-	0000	Patient has paid appropriate charges at old rate	
-	0001	Patient has paid appropriate charges	
A	0002	Is 60 years of age or over <u>or</u> is under 16 years of age (unless your date of birth is printed on the form)	
B	0003	16, 17 or 18 <u>and</u> in full-time education	
C	0004	is 60 years of age or over	OBSOLETE
D	0005	Maternity exemption certificate	
E	0006	Medical exemption certificate	
F	0007	Prescription prepayment certificate	
G	0008	Prescription exemption certificate issued by Ministry of Defence	
L	0009	HC2 (full help) certificate	
X ³	0010	Was prescribed free-of-charge contraceptives	EPS use only
H	0011	Income support <u>or</u> Income-related Employment and Support Allowance	
K	0012	Income based Job Seeker's Allowance	
M	0013	Tax credit exemption certificate	
S	0014	Pension Credit Guarantee Credit (including partners)	
-	0015	Was prescribed free-of-charge HMP/prisoners/persons detained in other secure accommodation medication	EPS use only
U	0016 ¹	Universal Credit <u>and</u> meets the criteria	
Y ³	0017	Was prescribed free-of-charge STI sexual health medication ¹	EPS use only
	0018 ²	Was prescribed free-of-charge mental health medication	EPS use only
	0019 ²	Was prescribed free-of-charge TB medication	EPS use only

1. To be implemented now
2. Not to be implemented yet until informed to do so by NHS Digital
3. X (0010) is to be removed from the back of the FP10 prescription form/Token and Y (0017) (or values 0018 and 0019 when they are implemented) will not be printed on the back of a prescription form/Token but these values will still need to be populated in the EPS message as the 'message code' field is mandatory.

Only one value can be populated so if a prescription contains a mixture of either STI sexual health/contraceptive/mental health or Tuberculosis free of charge medicines and other items then the exemption or charge status of the other item(s) applies and must be recorded.

Value C (0004) is 60 years of age or over is to be made redundant and a joint 'age exemption' is to apply – value A (0002). If your dispensing system has incorporated the change then all age exempt ie under 16 years or over 60 years must be recorded as A value 0002. If your system has not yet been updated then please continue to submit as A and C.

7 Appendix C - EPS Prescription Type Codes

7.1 EPS “Prescription Type” code, FP10 paper type and Prescriber Initiative

EPS Prescription Type Code	Description	FP10 paper type	FP10 Prescriber Initiative	Note
0101	Primary Care Prescriber – Medical Prescriber	FP10 SS		
0104	Primary Care Prescriber - Nurse Independent/Supplementary prescriber	FP10 SS	PN	
0105	Primary Care Prescriber - Community Practitioner Nurse prescriber	FP10 SS	PN	
0108	Primary Care Prescriber - Pharmacist Independent/Supplementary prescriber	FP10 SS	SP	
0113	Primary Care Prescriber – Optometrist Independent/Supplementary prescriber	FP10 SS	SP	
0114	Primary Care Prescriber – Podiatrist/Chiropodist Independent/Supplementary prescriber	FP10 SS	SP	
0116	Primary Care Prescriber – Radiographer Independent/Supplementary prescriber	FP10 SS	SP	
0117	Primary Care Prescriber - Physiotherapist Independent/Supplementary prescriber	FP10 SS	SP	
0124	Primary Care Prescriber – Dietician Supplementary prescriber	FP10 SS	SP	
0125	Primary Care Prescriber – Paramedic Independent/Supplementary prescriber	FP10 SS	SP	
1001	Outpatient Community Prescriber – Medical Prescriber	FP10 SS	HP	NEW - Hospital outpatient prescriptions dispensed in a community pharmacy
1004	Outpatient Community Prescriber - Nurse Independent/Supplementary prescriber	FP10 SS	HP	NEW - Hospital outpatient prescriptions dispensed in a community pharmacy
1005	Outpatient Community Prescriber - Community Practitioner Nurse prescriber	FP10 SS	HP	NEW - Hospital outpatient prescriptions dispensed in a community pharmacy

1008	Outpatient Community Prescriber - Pharmacist Independent/Supplementary prescriber	FP10 SS	HP	NEW - Hospital outpatient prescriptions dispensed in a community pharmacy
1013	Outpatient Community Prescriber – Optometrist Independent/Supplementary prescriber	FP10 SS	HP	NEW - Hospital outpatient prescriptions dispensed in a community pharmacy
1014	Outpatient Community Prescriber – Podiatrist/Chiropodist Independent/Supplementary	FP10 SS	HP	NEW - Hospital outpatient prescriptions dispensed in a community pharmacy
1016	Outpatient Community Prescriber – Radiographer Independent/Supplementary prescriber	FP10 SS	HP	NEW - Hospital outpatient prescriptions dispensed in a community pharmacy
1017	Outpatient Community Prescriber - Physiotherapist Independent/Supplementary prescriber	FP10 SS	HP	NEW - Hospital outpatient prescriptions dispensed in a community pharmacy
1024	Outpatient Community Prescriber – Dietician Supplementary prescriber	FP10 SS	HP	NEW - Hospital outpatient prescriptions dispensed in a community pharmacy
1025	Outpatient Community Prescriber – Paramedic Independent/Supplementary prescriber	FP10 SS	HP	NEW - Hospital outpatient prescriptions dispensed in a community pharmacy
0607	Dental Prescribing - Dentist	FP10 D		Currently out of scope for EPS and no overprinting requirements for dental forms as hand written pads

7.2 EPS Prescription type codes for NON-FP10 hospital prescribing

EPS Prescription Type Code	Description	FP10 paper type	FP10 Prescriber Initiative	Note
1101	Outpatient Hospital Pharmacy Prescriber – Medical Prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed in their own hospital pharmacy
1104	Outpatient Hospital Pharmacy Prescriber - Nurse Independent/Supplementary prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed in their own hospital pharmacy
1105	Outpatient Hospital Pharmacy Prescriber - Community Practitioner Nurse prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed in their own hospital pharmacy
1108	Outpatient Hospital Pharmacy Prescriber - Pharmacist Independent/Supplementary prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed in their own hospital pharmacy
1113	Outpatient Hospital Pharmacy Prescriber – Optometrist Independent/Supplementary prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed in their own hospital pharmacy
1114	Outpatient Hospital Pharmacy Prescriber – Podiatrist/Chiropodist Independent/Supplementary prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed in their own hospital pharmacy
1116	Outpatient Hospital Pharmacy Prescriber – Radiographer Independent/Supplementary prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed in their own hospital pharmacy
1117	Outpatient Hospital Pharmacy Prescriber - Physiotherapist Independent/Supplementary prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed in their own hospital pharmacy
1124	Outpatient Hospital Pharmacy Prescriber – Dietician Supplementary prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed in their own hospital pharmacy
1125	Outpatient Hospital Pharmacy Prescriber – Paramedic Independent/Supplementary prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed in their own hospital pharmacy
1201	Outpatient Homecare Prescriber – Medical Prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed by Homecare
1204	Outpatient Homecare Prescriber - Nurse Independent/Supplementary prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed by Homecare
1205	Outpatient Homecare Prescriber - Community Practitioner Nurse prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed by Homecare
1208	Outpatient Homecare Prescriber - Pharmacist Independent/Supplementary prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed by Homecare
1213	Outpatient Homecare Prescriber – Optometrist Independent/Supplementary prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed by Homecare
1214	Outpatient Homecare Prescriber – Podiatrist/Chiropodist Independent/Supplementary prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed by Homecare

1216	Outpatient Homecare Prescriber – Radiographer Independent/Supplementary prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed by Homecare
1217	Outpatient Homecare Prescriber - Physiotherapist Independent/Supplementary prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed by Homecare
1224	Outpatient Homecare Prescriber – Dietician Supplementary prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed by Homecare
1225	Outpatient Homecare Prescriber – Paramedic Independent/Supplementary prescriber	NON-FP10	Not applicable	NEW - Hospital outpatient prescriptions dispensed by Homecare

7.3 EPS Prescription type codes for private prescribing

EPS Prescription Type Code	Description	FP10 paper type	FP10 Prescriber Initiative	Note
0901	Private prescribing - GP			Previously published in Prescribing spec Currently out of scope for EPS
0904	Private prescribing - Nurse prescribing			Previously published in Prescribing spec Currently out of scope for EPS
0908	Private prescribing - Pharmacist prescribing			Previously published in Prescribing spec Currently out of scope for EPS
0913	Private prescribing - Optometrist			Previously published in Prescribing spec Currently out of scope for EPS
0914	Private prescribing - Podiatrist/Chiropodist			Previously published in Prescribing spec Currently out of scope for EPS
0916	Private prescribing - Radiographer			Previously published in Prescribing spec Currently out of scope for EPS
0917	Private prescribing - Physiotherapist			NEW Currently out of scope for EPS
0924	Private prescribing – Dietician			NEW Currently out of scope for EPS
0925	Private prescribing - Paramedic			NEW Currently out of scope for EPS

7.4 Retired codes no longer to be used

EPS Prescription Type Code	Description	FP10 paper type	FP10 Prescriber Initiative	Note
0001	General Practitioner Prescribing			Medical prescriber use 0101
0002	Intentionally left blank			n/a
0003	Nurse Practitioner Prescribing			Nurse prescriber use either 0104 or 0105 depending upon qualification of nurse
0004	Hospital Prescribing			Out of scope for EPS
0006	Dental Prescribing			Out of scope for EPS
0007	Supplementary Prescriber Prescribing			Use appropriate prescription type depending upon type of prescriber eg 0108, 0113, 0114, 0116, 0117, 0124
0009	General Practitioner Prescribing: Private			Private prescribing not in scope for EPS
0012	Extended Formulary Prescriber			'Extended Formulary' Nurse Prescribers no longer exist. A nurse prescriber would use 0104 or 0105 depending upon their qualification
0102	General Practitioner Prescribing - Trainee Doctor/GP Registrar	FP10 SS		Code retired 01 September 2016 Medical prescriber use 0101
0103	General Practitioner Prescribing - Deputising Services	FP10 SS		Code retired 01 September 2016 Medical prescriber use 0101
0106	General Practitioner Prescribing - PCT employed Nurse Independent/Supplementary prescriber	FP10 SS	CN	Code obsolete <u>must</u> not be used as PCTs abolished 01 April 2013 For a nurse employed as an Independent or Supplementary prescriber use 0104
0107	General Practitioner Prescribing - PCT employed Community Practitioner Nurse prescriber	FP10 SS	CN	Code obsolete <u>must</u> not be used as PCTs abolished 01 April 2013 For a nurse employed as a Community Practitioner nurse prescriber use 0105
0109	General Practitioner Prescribing - PCT employed Pharmacist prescriber	FP10 SS	SP	Code obsolete <u>must</u> not be used as PCTs abolished 01 April 2013 For a pharmacist employed as a prescriber use 0108
0110	General Practitioner Prescribing - Locum	FP10 SS		Code retired 01 September 2016 Medical prescriber use 0101
0119	General Practitioner Prescribing - PCT employed Podiatrist/Chiropracist	FP10 SS	SP	Code obsolete <u>must</u> not be used as PCTs abolished 01 April 2013 For a podiatrist/chiropracist employed as a prescriber use 0114
0120	General Practitioner Prescribing - PCT employed Optometrist	FP10 SS	SP	Code obsolete <u>must</u> not be used as PCTs abolished 01 April 2013 For an optometrist employed as a prescriber use 0113
0121	General Practitioner Prescribing - PCT employed Radiographer	FP10 SS	SP	Code obsolete <u>must</u> not be used as PCTs abolished 01 April 2013 For a radiographer employed as a prescriber use 0116
0122	General Practitioner Prescribing - PCT employed Physiotherapist	FP10 SS	SP	Code obsolete <u>must</u> not be used as PCTs abolished 01 April 2013 For a physiotherapist employed as a prescriber use 0117

0123	Primary Care Prescriber - Hospital prescriber	FP10 SS	HP	Code retired August 2020 upon introduction of individual prescriber types for Hospital unit prescribers prescribing on FP10 prescriptions for dispensing in the Community. Use 10nn values depending upon type of prescriber
0304	Nurse Practitioner - Practice employed Nurse Independent/Supplementary prescriber	FP10 P	PN	Code retired 01 September 2016 For a nurse employed as an Independent or Supplementary prescriber use 0104
0305	Nurse Practitioner - Practice employed Community Practitioner Nurse prescriber	FP10 P	PN	Code retired 01 September 2016 For a nurse employed as a Community Practitioner nurse prescriber use 0105
0306	Nurse Practitioner - PCT employed Nurse Independent/Supplementary prescriber	FP10 P	CN	Code obsolete <u>must</u> not be used as PCTs abolished 01 April 2013 For a nurse employed as an Independent or Supplementary prescriber use 0104
0307	Nurse Practitioner - PCT employed Community Practitioner Nurse prescriber	FP10 P	CN	Code obsolete <u>must</u> not be used as PCTs abolished 01 April 2013 For a nurse employed as a Community Practitioner nurse prescriber use 0105
0406	Hospital Prescribing - Hospital Prescriber	FP10 NC	HP	Out of scope
0708	Supplementary Prescribing - Practice employed Pharmacist	FP10 P	SP	Code retired 01 September 2016 For a pharmacist employed as a prescriber use 0108
0709	Supplementary Prescribing - PCT employed Pharmacist	FP10 P	SP	Code obsolete <u>must</u> not be used as PCTs abolished 01 April 2013 For a pharmacist employed as a prescriber use 0108
0713	Supplementary Prescribing - Practice employed Optometrist	FP10 P	SP	Code retired 01 September 2016 For an optometrist employed as a prescriber use 0113
0714	Supplementary Prescribing - Practice employed Podiatrist/Chiropodist	FP10 P	SP	Code retired 01 September 2016 For a podiatrist/chiropodist employed as a prescriber use 0114
0716	Supplementary Prescribing - Practice employed Radiographer	FP10 P	SP	Code retired 01 September 2016 For a radiographer employed as a prescriber use 0116
0717	Supplementary Prescribing - Practice employed Physiotherapist	FP10 P	SP	Code retired 01 September 2016 For a physiotherapist employed as a prescriber use 0117
0718	Supplementary Prescribing - PCT employed Optometrist	FP10 P	SP	Code obsolete <u>must</u> not be used as PCTs abolished 01 April 2013 For an optometrist employed as a prescriber use 0113
0719	Supplementary Prescribing - PCT employed Podiatrist/Chiropodist	FP10 P	SP	Code obsolete <u>must</u> not be used as PCTs abolished 01 April 2013 For a podiatrist/chiropodist employed as a prescriber use 0114
0721	Supplementary Prescribing - PCT employed Radiographer	FP10 P	SP	Code obsolete <u>must</u> not be used as PCTs abolished 01 April 2013 For a radiographer employed as a prescriber use 0116
0722	Supplementary Prescribing - PCT employed Physiotherapist	FP10 P	SP	Code obsolete <u>must</u> not be used as PCTs abolished 01 April 2013 For a physiotherapist employed as a prescriber use 0117