



Issue 7 preparedness letter published
22 December 2020 - webinar

January 2021

Webinar 1 – Time periods 1 and 2

NHS England and NHS Improvement



Welcome to webinar 1

Introduction

- Dental contracts will be managed over three time periods
 - 1 April to 7 June 2020 (Time period 1)
 - 20 July to 31 December 2020 (Time period 2)
 - 1 January to 31 March 2021 (Time period 3)
- Series of recorded webinars to explain
 - Webinar 1 - Time periods 1 and 2
 - Webinar 2 - Time period 3 UDA contracts
 - Webinar 3 - Time period 3 UOA contracts
 - Webinar 4 - Time period 3 Other contract types



NHS England and NHS improvement has been providing regular updates to general dental practices, community dental services and other providers, regarding the Coronavirus situation over the past 11 months. Electronic copies of the updates and all other relevant guidance can be found at [WWW.England.nhs.UK/Coronavirus/primarycare](https://www.england.nhs.uk/coronavirus/primarycare)

The latest is issue seven “preparedness letter for primary dental care” which was published on the 22nd of December, 2020 and dealt with the way in which contract arrangements from 1st of January to 31 March, 2021 work to be dealt with.

This, alongside the accompanying “guidance to support dental contract management arrangements for the 2020/21 year-end reconciliation”, details the way in which dental contracts are being managed over three time periods.

To support contractors in understanding how the contract is going to be reconciled at year end, a series of recorded webinars have been released.

This is webinar one and will be looking at time periods 1 and 2 which covers the time periods 1 April to 7 June and 20 July to 31 December and applies for all contract types.

UDA example contract

Time period	Contract value	UDAS
2020/21	£300,000	12,000
Time period 1		3,000
Time period 2		6,000
Time period 3		3,000



Throughout this webinar we are using an example contract of £300,000 and 12,000 UDAs.

This slide shows how these contract metrics are split across the three time periods and will be the values we will use for the worked examples within this webinar.

Time period 1 - principles

- 1 April to 7 June 2020
 - Issue 3 preparedness letter published 25 March 2020
- Practices were closed
- Contractors received 1/12th of contract payment per month
- Patient charge revenue taken as appropriate (face to face care)
- 25% of annual contract activity covered for this time period
- Abatement will be applied to contract value
- Applies to all contract types including CDS, AQP and Prototypes



The first time period is 1 April to 7 June 2020 and the arrangements were outlined in Issue 3 preparedness letter which was published on 25 March 2020.

This was the period of time where all practices were closed (unless they became a Urgent Dental Centre).

To ensure an income when practices were closed, the monthly payments were guaranteed so practices continued to receive their monthly payments, in our example £25,000 per month.

If a practice was a UDC then patient charge revenue was deducted as normal but was for face to face care, only it has not been collected for E Triage and remote advice.

25% of the contract activity is covered by this period and we will look at what this means on the next slide.

The contract value for this time period is being abated to reflect the variable costs and more on this shortly.

These arrangements for this period apply to all to all contract types.

Time period 1 - achievement

- 25% of contract activity

Time period	Contract value	Contracted UDAs
2020/21	£300,000	12,000
Time period 1		3,000

- 100% of contract activity deemed achieved for this period if:
 - Contractor meets the expectations set out in the Issue 3 preparedness letter



As we have said this time period 1 covers 25% of the contract value. In our example this equates to 3000 UDAs.

This means that if the contractor met the expectations set out in the Issue 3 preparedness letter then the contractor will be deemed to have achieved the full 3000 UDAs.

We will now look at what those expectations were.

Time period 1 - achievement

- Practice expectations
 - All routine, non-urgent and community outreach services cease
 - Provide remote advice and triage for those with urgent need
 - Advice
 - Analgesia
 - Antimicrobial means where appropriate
 - Referral to urgent dental centre (UDC) where appropriate
 - Activity recorded and submitted via Compass
 - Messaging updated at practices
 - Communicating changes to hours available due to staffing levels including cover arrangements
 - Staff paid at same levels
 - Staff available for redeployment
 - No other government support received

6 |



This is a reminder of the practice expectations that were set out and what contractors would have been providing during that time period.

We will not go through these in any detail on this webinar for clinical care this was to provide remote advice and triage as well as referring patients as relevant to UDCS (against a criteria). Patients had to be kept informed of what access looked like at their practice.

Dental contractors were also required to continue to pay staff and see no other government support for NHS services. They were also asked to support the response to Covid-19 by making staff available for redeployment.

Practices were asked to declare various details throughout this period and these expectations will also form part of the final annual declaration as part of the year end reconciliation process.

Time period 1 – achieved

Final contract achievement for time period 1
3,000* UDAs

- Abatement

- 16.75% of contract value for 1 April – 7 June 2020
- Managed outside of the year end process

*Activity combined with activity for time period 1 and 2 to give overall
% year end position



As contractors were closed during this period an abatement for variable costs will be applied to this time period. This reflects that contractors will not have incurred as they were not providing face to face care, these costs included consumables such as laboratory costs and materials as well as other variable costs.

The abatement that was agreed is 16.75%. The abatement related to time period 1 will be dealt with outside of the year end process.

Please see webinars 2 or 3 for details on how the year end reconciliation is calculated.

In our contract example at the end of this time period, if all expectations were met then they would be awarded a deemed activity level of 3000 UDAs. These UDAs will form part of the calculation for overall year end position. Please look at webinars 2 and 3 for how this works with the other time periods.

If this expectations aren't met the contract reverts back to the usual contracting management process.

Time period 2



Time period 2 - principles

- 20 July to 31 December 2020
 - Urgent dental letter 28 May 2020
 - Issue 5 preparedness letter published 13 July 2020
- Practices were asked to provide face to face care
- Contractors received 1/12th of contract payment per month
- PCR taken as appropriate
- 50% of annual contract activity covered for this time period
- No abatement applied
- Applies to all contract types including CDS, AQP and Prototypes



We are now going to move on to looking at the second time period. This covers the period 20 July to 31 December 2020.

Following the first national lockdown, dental contractors were asked to start reopening from the 8 June, as set out in the urgent dental letter of 28 May. There was a transition period to allow practices to manage the new arrangements but all practices needed to be open by 20 July at the latest. They were asked to start providing face to face patient care. Practices had to risk assess staff, patients and care delivery, ensure there appropriate infection prevention and control (IPC) as well as access to personal protective equipment (PPE) and social distancing and separation measures to ensure safety of both staff and patients.

Contractors continued to receive their monthly payments, adjusted for PCR as relevant.

50% of the contract activity is covered by this period and we will look at what this means on the next slide.

In this time period no abatement is applied.

Time period 2 - achievement

- 50% of contract activity

Time period	Contract value	Contracted UDAs
2020/21	£300,000	12,000
Time period 1		6,000

- 100% of contract activity deemed achieved for the period if:
 - Contractor meets the expectations set out in the urgent dental letter and Issue 5 preparedness letter



As we have said this time period 2 covers 50% of the contract activity.

This means that if the contractor met the expectations set out in the Issue 5 preparedness letter then the contractor will be deemed to have achieved the full 6000 UDAs.

We will now look at what those expectations were

Time period 2 - achievement

- Practice expectations
 - Minimum of 20% patient care volume provided
 - E Triage continued with appropriate recording
 - FP17 data transmitted
 - Declaration re equivalence of NHS services and private services (volumes)
 - Declaration re staff engagement and payment to staff

 - Contractual hours adhered to with reasonable staffing levels to perform highest level of activity possible
 - Not to have increased private provision over levels of NHS provision
 - Pay all staff at previous levels



These were the expectations set out, we won't look at them all in detail but the first expectation was that 20% of patient volumes was achieved. We will look at how the 20% is calculated on the next slide.

During this time period E Triage was to continue and delivery of care was being monitored by the submission of E Triage and FP17 data.

Contractors also had to provide declarations on staff levels as well as a statement on the equivalency between private and NHS volumes.

Monthly declarations continued and these expectations will form part of the year end reconciliation process.

Time period 2 - achievement

- Minimum of 20% of patient care volume delivered
 - E Triage count
 - Face to face appointments as reported via FP17/Os
 - As compared with 2019/2020 delivery for period 20 July to 31 December
- 20% calculated as activity volume across the whole period
- Historic volume of FP17s = 5,000
- E Triage 625 + FP17s 435 = 1,060
 - $1,060/5,000 \times 100 = 21.2\%$



The expectation for contractors in this period was for them to deliver at least 20% of the patient activity volume that would be expected for this time period.

The care that went towards this 20% value was both the number of E Triage forms submitted as well as the number of FP17s. This count was not based on the number of UDAs delivered but on the number of actual patient contacts.

To understand if 20% of patient care volume was achieved it was compared to the number of FP17's that were submitted during 20 July – 31 December 2019. Contractors are able to review their previous schedules to understand what their historic volumes were.

The example on the slide shows how this is calculated.

If they met this target as well as the other expectations then they would be deemed to have achieved 50% of their contract activity, so in our example 6000 UDAs.

We will now look at how achievement is calculated.

Time period 2 – achieved

- Abatement
 - Not applied for time period 2

Final contract achievement for time period 2 for
example 1
6,000* UDAs

*Activity combined with activity for time period 1 and 3 to give overall
% year end position



For this time period there is no abatement applied to the contract value.

For our example for this time period, a practice if they meet the 20% and the practice expectations will receive 6000 UDAs and a contract value of £150,000 minus any patient charge revenue that will have been collected as normal.

The 6000 UDAs deemed awarded will form part of the calculation for overall year end position. Please look at webinars 2 and 3 for how this works with the other time periods.

- This webinar will remain available on the NHSBSA website
- Please watch the remaining webinars
 - Webinar 2 – Time period 3 UDA contracts
 - Webinar 3 – Time period 3 UOA contracts
 - Webinar 4 – Time period 3 Other contract types
- Other guidance available on the NHSBSA website
- If you have any queries regarding the content of this webinar, they can be submitted to:

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This concludes this webinar.

Time period 3 will be covered in the next webinars, for UDA practices this is webinar 2, for UOAs webinar 3 and for specialist contracts including UDCs this will be webinar 4.

If you have any queries regarding the content of this webinar please contact yearend@pcc-cic.org.uk