

## Clinical Data Set validation rules from 1 April 2022 - Wales

From 1 April 2022, stricter validation rules will be applied to FP17W activity submissions. From this date, each treatment band on an FP17W must have at least one Clinical Data Set (CDS) item that is appropriate to the treatment band claimed. To apply these stricter rules, each of the FP17 CDS items will be allocated a new “CDS treatment band”. The CDS treatment band values will be 0, 1, 2 or 3 as follows:

Clinical Data Set (CDS) Treatment Band	0	1	2	3
Permitted Claims	<p>Allowed on any claim, including Charge Exempt and Domiciliary Visit/Sedation only claims.</p> <p>Urgent claims cannot consist solely of these items, otherwise the claim will fail with error code 129.</p>	<p>Allowed on Band 1, 2, 3, Reg 11 or Urgent only, otherwise the claim will fail with error code 130.</p> <p>There must be at least one of these codes present if Band 1 is claimed, otherwise the claim will fail with error code 129.</p>	<p>Allowed on Band 2, 3, Reg 11 or Urgent only, otherwise the claim will fail with error code 130.</p> <p>There must be at least one of these codes present if Band 2 is claimed, otherwise the claim will fail with error code 129</p>	<p>Allowed on Band 3 or Reg 11 only, otherwise the claim will fail with error code 130.</p> <p>There must be at least one of these codes present if Band 3 is claimed, otherwise the claim will fail with error code 129.</p>

If a claim fails one of the above checks it will result in one of the following two new error codes:

- **Error code 129** - no treatment item which matches the band claimed is present on the claim – *please note that for a temporary period Error code 129 has been replaced by the comment code 011 - No treatment to justify band*
- **Error code 130** - treatment item claimed for a higher band than that is on the claim – *please note that for a temporary period Error code 130 has been replaced by the comment code 012 - Treatment entered higher than band*



	9372	<p><b>Patient Presented With</b> (Wales from 01/04/22)</p> <p><b>All Welsh FP17W claims with a Date of Acceptance from 01/04/22 will need to include Patient Presented With:</b></p> <ol style="list-style-type: none"> <li>1) Routine Visit</li> <li>2) Pain/Infection, or</li> <li>3) Other/Advice</li> </ol>	9179	<p><b>ACORN Assessment Carried Out</b> (Wales from 01/04/20)</p> <p>If a claim contains ACORN Assessment Carried Out (code 9179) and also Urgent Treatment (9150 with a value of 4) the claim will be rejected with new error code 136</p> <p>All Welsh FP17W claims with a Date of Acceptance from 01/04/22 will need to have either a full ACORN Assessment Carried Out code 9179 or the new Welsh Exam code 9357,</p>	9335	<p><b>Prevention and Stabilisation</b> (Wales from 01/04/20)</p>	9309	<p><b>Upper Denture Acrylic</b></p>
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				otherwise the claim will reject with new error code 135.				
	9354	<b>Virtual Consultation</b> (Wales from 01/04/22)	9302	<b>Fluoride Varnish</b> (Fluoride Varnish 9302 will, apart from the exam code 9357 (new) or ACORN Assessment Carried Out (9179), be the only other CDS Treatment Band 1 code allowed on Welsh Free Exam exemption claims. The presence of any other code with a CDS Treatment band of 1, 2 or 3 will result in new error code 131 being generated)	9338	<b>Pre-Formed Crowns</b> (Wales from 01/04/20)	9310	<b>Lower Denture Acrylic</b>
	9355	<b>Caries Treatment Offered but Further Self Care</b>	9303	<b>Fissure Sealants</b>	9339	<b>Advanced Perio RSD</b> (Wales from 01/04/20)	9311	<b>Upper Denture Metal</b> (Now allowed again in Wales from 01/04/22.

		<b>Improvement Required</b> (Wales from 01/04/22)						Still NA in Wales from 01/04/20 to 31/03/22)
9356		<b>Perio Treatment Offered but Further Self Care Improvement Required</b> (Wales from 01/04/22)	9304	<b>Radiographs</b> (No check required on FP17OWs)	9353	<b>Denture Addition/ Reline/Rebase</b> (From 01/12/21)	9312	<b>Lower Denture Metal</b> (Now allowed again in Wales from 01/04/22. Still NA in Wales from 01/04/20 to 31/03/22)
9358		<b>Prescribed Items Antibiotics (*)</b> (Wales from 01/04/22)	9348	<b>Removal of Plaque Retentive Factors</b> (Wales from 01/04/22)	9370	<b>Endodontic Treatment Molar</b> (Wales from 01/04/22)	9313	<b>Veneers</b>
9359		<b>Prescribed Items High Fluoride Toothpaste/ Daily Rinse (*)</b> (Wales from 01/04/22)	9399	<b>Other Treatment</b>	9371	<b>Endodontic Treatment Non-Molar</b> (Wales from 01/04/22)	9315	<b>Bridges</b>
9360		<b>Prescribed Items Oral Hygiene Mouthwash (*)</b> (Wales from 01/04/22)			9373	<b>Non-Surgical Extractions</b> (Wales from 01/04/22)	9336	<b>Onlays</b> (Wales from 01/04/20)
9361		<b>Prescribed Items Oral Medicine Mouthwash/Sprays (*)</b> (Wales from 01/04/22)			9374	<b>Surgical Removal of Teeth</b> (Wales from 01/04/22)	9376	<b>Custom Made Occlusal Appliance Hard Bite</b> (From 01/12/21)
9362		<b>Prescribed Items Analgesics (*)</b> (Wales from 01/04/22)					9377	<b>Custom Made Occlusal Appliance Soft Bite</b> (From 01/12/21)
9363		<b>Prescribed Items Antifungals/Antivirals</b>						

		(*) (Wales from 01/04/22)						
	9364	<b>Prescribed Items Anxiolysis (*)</b> (Wales from 01/04/22)						
	9365	<b>Prescribed Items Artificial Saliva Product (*)</b> (Wales from 01/04/22)						

\* if Prescription Only (9158) is entered on a claim then at least one of these asterisked codes must also be present on the claim otherwise error code 134 will be generated.

The below table indicates CDS items that have a Null value. A Null value means that checking of the CDS item against the treatment band will not take place.

	Code	Clinical Data Set Item	Comments
<b>Null Value CDS Items –</b> no checking of treatment code against the Band will take place	9316	Referral for AMS	NA to Wales since 2014. If Referral for AMS is present on a claim, the above checks will not be required
	9319	Referral for AMS Band	If Referral for AMS Band is present on a claim, the above checks will not be required
	9320	Decayed Permanent	
	9323	Decayed Deciduous	
	9326	Medical History	Wales only
	9327	Social History	Wales only
	9328	Dental History	Wales only
	9329	Periodontitis	Wales only
	9330	Tooth Decay	Wales only
	9331	Number of Teeth in the Mouth	Wales only
	9332	Other Dental Need	Wales only
	9340	AGP Indicator	Wales from 01/12/21
	9341	Plaque Score	Wales from 01/04/22
	9342	BPE Upper Right	Wales from 01/04/22
	9343	BPE Upper Anterior	Wales from 01/04/22
	9344	BPE Upper Left	Wales from 01/04/22
	9345	BPE Lower Right	Wales from 01/04/22
	9346	BPE Lower Anterior	Wales from 01/04/22
	9347	BPE Lower Left	Wales from 01/04/22
	9349	Toothbrushing Advice	Wales from 01/04/22
9350	Inter Dental Cleaning Aids	Wales from 01/04/22	
9351	OHI Improvement Plan	Wales from 01/04/22	
9366	Best Practice Prevention Dietary Changes Agreed	Wales from 01/04/22	
9367	Best Practice Prevention Brief Intervention in Smoking/Tobacco Use	Wales from 01/04/22	

	9368	Best Practice Prevention Brief Intervention in Alcohol	Wales from 01/04/22
	9369	Best Practice Prevention Advice on Fluoride Toothpaste/ Spit No Rinse	Wales from 01/04/22

Please note that these checks will only take place on claims submitted with a Date of Acceptance of 01/04/2022 or later.



### More Specific Validation of Treatment

If Incomplete Treatment (9164) or Referral for Advanced Mandatory Services (codes 9316 and 9319) is present on a claim the above checks will not be carried out.

The below tables indicate the CDS items required when either a Regulation 11 or free repair/replacement claim is submitted.

	Code	Clinical Data Set Item	Comments
<b>Reg 11 claims must have at least one of these codes present</b>	9309	Upper Denture Acrylic	
	9310	Lower Denture Acrylic	
	9311	Upper Denture Metal	Wales from 01/04/2022
	9312	Lower Denture Metal	Wales from 01/04/2022
	9376	Custom Made Occlusal Appliance (Hard Bite)	Wales from 01/12/21
	9377	Custom Made Occlusal Appliance (Soft Bite)	Wales from 01/12/21

If a claim fails the above checks, then **error code 132** will be generated (Treatment claimed does not accord with Reg 11 Replacement) – *please note that for a temporary period Error code 132 has been replaced by the comment code 013 Reg 11 claim without appliance.*

	Code	Clinical Data Set Item	Comments
Free Repair/Replacement claims must have at least one of these codes present:	9305	Endodontics	
	9306	Permanent Fillings	
	9308	Crowns	
	9313	Veneers	
	9314	Inlays	
	9315	Bridges	
	9336	Onlays	Wales from 01/04/2020
	9338	Pre-Formed Crowns	Wales from 01/04/2020
	9353	Denture Addition/ Reline/Rebase	Wales from 01/12/2021
	9370	Endodontic Treatment Molar	Wales from 01/04/22
	9371	Endodontic Treatment Non-Molar	Wales from 01/04/22

- If a claim fails the above checks, then **error code 133** will be generated (Treatment claimed does not accord with treatments associated with Free Repair/Replacement) – *please note that for a temporary period Error code 133 has been replaced by the comment code 014 Free Repair without repair/replaceable item*

### Support

For any queries relating to this document please email our contact centre at [nhsbsa.dentalservices@nhsbsa.nhs.uk](mailto:nhsbsa.dentalservices@nhsbsa.nhs.uk) or by calling 0300 330 1348